

Real-Time Normal Map DXT Compression

J.M.P. van Waveren Ignacio Castaño
Id Software, Inc. NVIDIA Corp.

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Abstract

Using today's graphics hardware, normal maps can be stored in several compressed formats, that are decompressed on the fly in hardware during rendering. Several object-space and tangent-space normal map compression techniques using existing texture compression formats are evaluated. While decompression from these formats happens in real-time in hardware during rendering, compression to these formats may take a considerable amount of time using existing compressors. Two highly optimized tangent-space normal map compression algorithms are presented that can be used to achieve real-time performance on both the CPU and GPU.

1. Introduction

Bump mapping uses a texture to perturb the surface normal to give objects a more geometrically complex appearance without increasing the number of geometric primitives. Bump mapping, as originally described by Blinn [1], uses the gradient of a bump map heightfield to perturb the interpolated surface normal in the direction of the surface derivatives (tangent vectors), before calculating the illumination of the surface. By changing the surface normal, the surface is lit as if it has more detail, and as a result is also perceived to have more detail than the geometric primitives used to describe the surface.

Normal mapping is an application of bump mapping, and was introduced by Peercy et al. [2]. While bump mapping perturbs the existing surface normals of an object, normal mapping replaces the normals entirely. A normal map is a texture that stores normals. These normals are usually stored as unit-length vectors with three components: X, Y and Z. Normal mapping has significant performance benefits over bump mapping, in that far fewer operations are required to calculate the surface lighting.

Normal mapping is usually found in two varieties: object-space and tangent-space normal mapping. They differ in coordinate systems in which the normals are measured and stored. Object-space normal maps store normals relative to the position and orientation of a whole

object. Tangent-space normals are stored relative to the interpolated tangent-space of the triangle vertices. While object-space normals can be anywhere on the unit-sphere, tangent-space normals are only on the unit-hemisphere at the front of the surface, because the normals always point out of the surface.



Example of an object-space normal map (left), and the same normal map in tangent-space (right).

A normal does not necessarily have to be stored as a vector with the components X, Y and Z. However, rendering from other representations usually comes at a performance cost. A normal could, for instance, be stored as an angle pair (pitch, yaw). However, this representation has the problem that interpolation or filtering does not work properly, because there are orientations in which there may not exist a simple change to the angles to represent a local rotation. Before interpolating, filtering, or calculating the surface illumination for that matter, the angle pair has to be converted to a different representation like a vector, which requires expensive trigonometric functions.

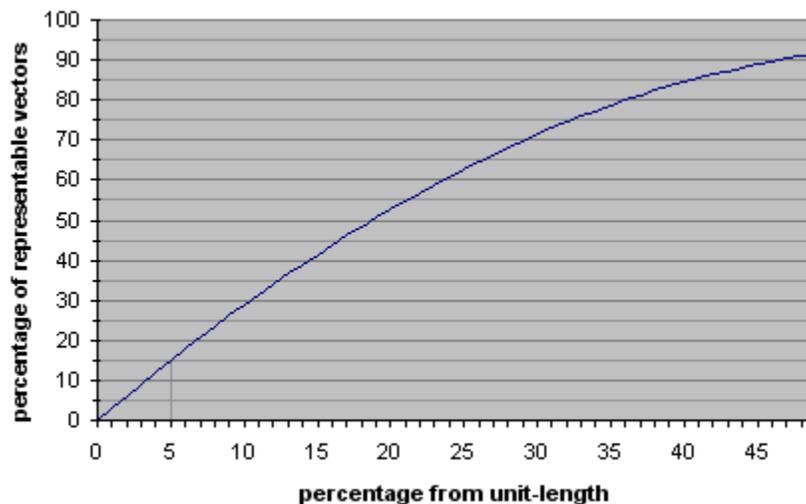
Although a normal map can be stored as a floating-point texture, a normal map is typically stored as a signed or unsigned *integer* texture, because the components of normal vectors take values within a well defined range (usually $[-1, +1]$), and there is a benefit to having the same precision across the whole range without wasting any bits for a floating-point exponent. For instance, to store a normal map as an *unsigned* integer texture with 8 bits per component, the X, Y and Z components are rescaled from real values in the range $[-1, +1]$ to integer values in the range $[0, 255]$. As such, the real-valued vector $[0, 0, 1]$ is converted to the integer vector $[128, 128, 255]$, which, when interpreted as a point in RGB space, is the purple/blue color that is predominant in tangent-space normal maps. To render a normal map stored as an *unsigned* integer texture, the vector components are first mapped from an integer value to the floating-point range $[0, +1]$ in hardware. For instance, in the case of a texture with 8 bits per component, the integer range $[0, 255]$ is mapped to the floating-point range $[0, +1]$ by division with 255. Then the components are typically mapped from the $[0, +1]$ range to the $[-1, +1]$ range during rendering in a fragment program by subtracting 1 after multiplication with 2. When a *signed* integer texture is used, the mapping from an integer value to the floating-point range $[-1, +1]$ is performed directly in hardware.

Whether using a signed or unsigned integer texture, a fundamental problem is that it is not possible to derive a *linear* mapping from binary integer numbers to the floating-point range $[-1, +1]$, such that the values -1 , 0 , and $+1$ are represented exactly. The mapping in hardware of signed integer textures, used in earlier NVIDIA implementations, does not exactly represent $+1$. For an n -bit unsigned integer component, the integer 0 maps to -1 , the integer 2^{n-1} maps to 0 , and

the maximum integer value 2^n-1 maps to $1 - 2^{1-n}$. In other words, the values -1 and 0 are represented exactly, but the value +1 is not. The mapping used for DirectX 10 class hardware is non-linear. For an n-bit signed integer component, the integer -2^{n-1} maps to -1, the integer $-2^{n-1}+1$ also maps to -1, the integer 0 maps to 0, and the integer $2^{n-1}-1$ maps to +1. In other words, the values -1, 0 and +1 are all represented exactly, but the value -1 is represented twice.

Signed textures are not supported on older hardware. Furthermore, the mapping from binary integers to the range [-1, +1] may be hardware specific. Some implementations may choose to not represent +1 exactly, whereas the conventional OpenGL mapping specifies that -1 and +1 can be represented exactly, but 0 can not. Other implementations may choose a non-linear mapping, or allow values outside the range [-1, +1], such that all three values -1, 0 and +1 can be represented exactly. To cover the widest range of hardware without any hardware specific dependencies, all normal maps used here are assumed to be stored as *unsigned* integer textures. The mapping from the range [0, +1] to [-1, +1] is performed in a fragment program by subtracting 1 after multiplication with 2. This may result in an additional fragment program instruction, which can be trivially removed when a *signed* texture is used. The mapping used here is the same as the conventional OpenGL mapping which results in an exact representation of the values -1 and +1, but not 0.

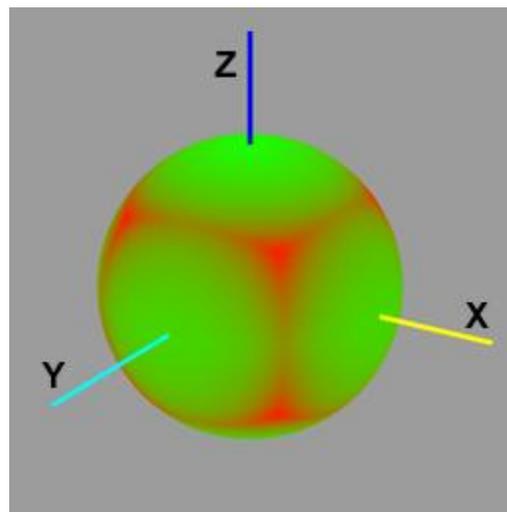
An integer normal map texture can typically be stored with 16 (5:6:5), 24 (8:8:8), 48 (16:16:16) or 96 (32:32:32) bits per normal vector. Most of today's normal maps, however, are stored with no more than 24 (8:8:8) bits per normal vector. It is important to realize there are relatively few 8:8:8 bit vectors that are actually close to unit-length. For instance, the integer vector [0, 0, 64], which is dark blue in RGB space, does not represent a unit-length normal vector (the length is 0.5 as opposed to 1.0). The following figure shows the percentage of representable 8:8:8 bit vectors that are less than a certain percentage off from being unit-length.



For instance, if it is not considered acceptable for normal vectors to be more than 5% from unit-length, then only about 15% of all representable 8:8:8 bit vectors can be used to represent normal

vectors. Going to fewer bits of precision, like 5:6:5 bits, the number of representable vectors that are close to unit-length decreases quickly.

To significantly increase the number of vectors that can be used, each normal vector can be stored as a direction that is not necessarily unit-length. This direction then needs to be normalized in a fragment program. However, there is still some waste because only 83% of the all 8:8:8 bit vectors represent unique directions. For instance, the integer vectors $[0, 0, 32]$, $[0, 0, 64]$ and $[0, 0, 96]$ all specify the exact same direction (they are multiples of each other). Furthermore, the unique normalized directions are not uniformly distributed over the unit-sphere. There are more representations for directions close to the four diagonals of the bounding box of the $[-1, +1] \times [-1, +1] \times [-1, +1]$ vector space, than there are representations for directions close to the coordinate axes. For instance, there are three times more directions represented within a 15 degrees radius around the vector $[1, 1, 1]$, than there are directions represented within a 15 degrees radius around the vector $[0, 0, 1]$. The figure below shows the distribution of all representable 8:8:8 bit vectors projected onto the unit-sphere. The areas with a low density of vectors are green, and the areas with a high density are red.



distribution of 8:8:8 bit vectors
projected on the unit-sphere

On today's graphics hardware, normal maps can also be stored in several compressed formats, that are decompressed in real-time during rendering. Compressed normal maps do not only require significantly less memory on the graphics card, but also generally render faster than uncompressed normal maps, due to reduced bandwidth requirements. Various different ways to exploit existing texture compression formats for normal map compression, have been suggested in literature [7, 8, 9]. Several of these normal map compression techniques, and extensions to them, are evaluated in section 2 and 3.

While decompression from these formats is done real-time in hardware, compression to these formats may take a considerable amount of time. Existing compressors are designed for high-quality off-line compression, not real-time compression [20, 21, 22]. However, real-time compression is quite useful for transcoding normal maps from a different format, compression of dynamically generated normal maps, and for compressed normal map render targets. In sections

4 and 5 two highly optimized tangent-space normal map compression algorithms are presented, that can be used to achieve real-time performance on both the CPU and GPU.

2. Object-Space Normal Maps

Object-space normal maps store normals relative to the position and orientation of a whole object. A normal in object-space can be anywhere on the full unit-sphere, and is typically stored as a vector with three components: X, Y and Z. Object-space normal maps can be stored using regular color texture compression techniques, but these techniques may not be as effective, because normal map textures do not have the same properties as color textures.

2.1 Object-Space DXT1

DXT1 [3, 4], also known as BC1 in DirectX 10 [5], is a lossy compression format for color textures, with a fixed compression ratio of 8:1. The DXT1 format is designed for real-time decompression in hardware on the graphics card during rendering. DXT1 compression is a form of Block Truncation Coding (BTC) [6] where an image is divided into non-overlapping blocks, and the pixels in each block are quantized to a limited number of values. The color values of pixels in a 4x4 pixel block are approximated with equidistant points on a line through RGB color space. This line is defined by two end-points, and for each pixel in the 4x4 block a 2-bit index is stored to one of the equidistant points on the line. The end-points of the line through color space are quantized to 16-bit 5:6:5 RGB format and either one or two intermediate points are generated through interpolation. The DXT1 format allows a 1-bit alpha channel to be encoded, by switching to a different mode based on the order of the end points, where only one intermediate point is generated and one additional color is specified, which is black and fully transparent.

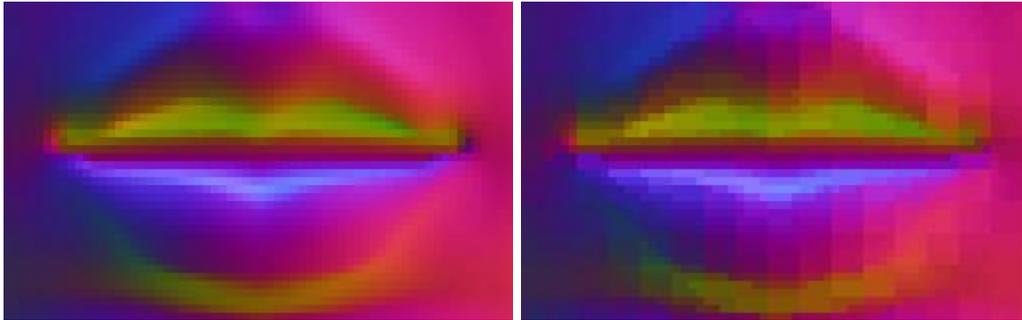
Although the DXT1 format is designed for color textures this format can also be used to store normal maps. To compress a normal map to DXT1 format, the X, Y and Z components of the normal vectors are mapped to the RGB channels of a color texture. In particular for DXT1 compression each normal vector component is mapped from the range [-1, +1] to the integer range [0, 255]. The DXT1 format is decompressed in hardware during rasterization, and the integer range [0, 255] is mapped to the floating point range [0, 1] in hardware. In a fragment program the range [0, 1] will have to be mapped back to the range [-1, +1] to perform lighting calculations with the normal vectors. The following fragment program shows how this conversion can be implemented using a single instruction.

```
# input.x = normal.x ∈ [0, 1]
# input.y = normal.y ∈ [0, 1]
# input.z = normal.z ∈ [0, 1]
# input.w = 0

MAD normal, input, 2.0, -1.0
```

Compressing a normal map to DXT1 format generally results in rather poor quality. There are noticeable blocking and banding artifacts. Only four distinct normal vectors can be encoded per 4x4 block, which is typically not enough to accurately represent all original normal vectors in a

block. Because the normals in each block are approximated with equidistance points on a line, it is also impossible to encode four distinct normal vectors per 4x4 block that are all unit-length. Only two normal vectors per 4x4 block can be close to unit-length at a time, and usually a compressor selects a line through vector space which minimizes some error metric, such that, none of the vectors are actually close to unit-length.



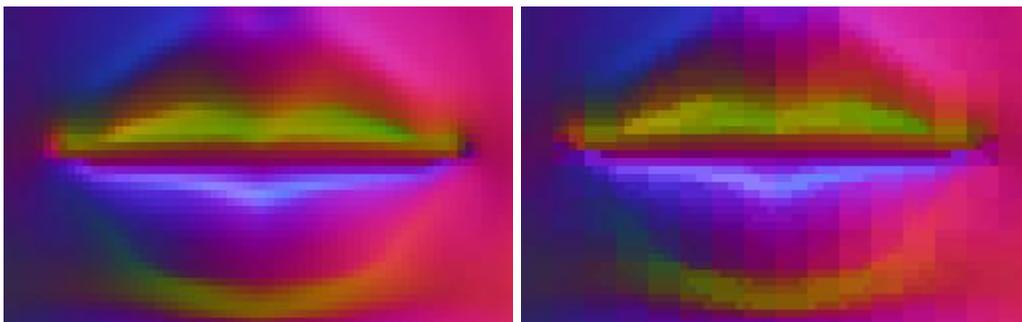
The DXT1 compressed normal map on the right shows noticeable blocking artifacts compared to the original normal map on the left.

To improve the quality, each normal vector can be encoded as a direction that is not necessarily unit-length. This direction then has to be re-normalized in a fragment program. The following fragment program shows how a normal vector can be re-normalized.

```
# input.x = normal.x ∈ [0, 1]
# input.y = normal.y ∈ [0, 1]
# input.z = normal.z ∈ [0, 1]
# input.w = 0

MAD normal, input, 2.0, -1.0
DP3 scale, normal, normal
RSQ scale.x, scale.x
MUL normal, normal, scale.x
```

Encoding directions gives the compressor more freedom, because the compressor does not have to worry about the magnitude of the vectors, and a much larger percentage of all representable vectors can be used for the end points of the line through normal space. However, this increased freedom makes compression a much harder problem.



The DXT1 compressed normal map with re-normalization on the right compared to the original normal map on the left.

The above images show that, although the quality is a little bit better, the quality is generally still rather poor. Whether re-normalizing in a fragment program or not, the quality of DXT1 compressed object-space normal maps is generally not considered to be acceptable.

2.2 Object-Space DXT5

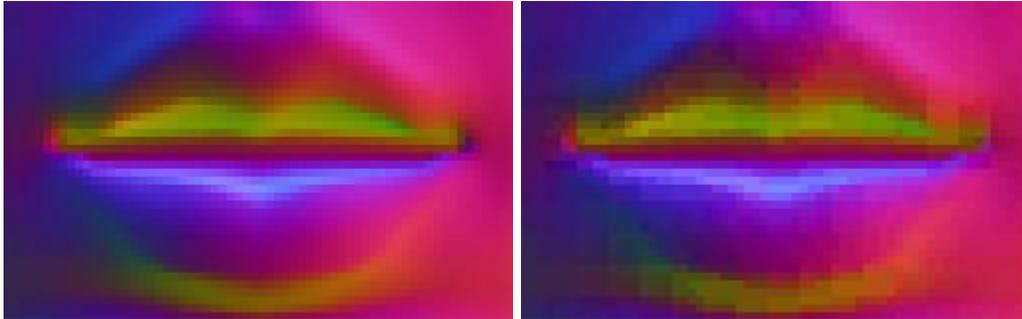
The DXT5 format [3, 4], also known as BC3 in DirectX 10 [5], stores three color channels the same way DXT1 does, but without 1-bit alpha channel. Instead of the 1-bit alpha channel, the DXT5 format stores a separate alpha channel which is compressed similarly to the DXT1 color channels. The alpha values in a 4x4 block are approximated with equidistant points on a line through alpha space. The end-points of the line through alpha space are stored as 8-bit values, and based on the order of the end-points either 4 or 6 intermediate points are generated through interpolation. For the case with 4 intermediate points, two additional points are generated, one for fully opaque and one for fully transparent. For each pixel in a 4x4 block a 3-bit index is stored to one of the equidistant points on the line through alpha space, or one of the two additional points for fully opaque or fully transparent. The same number of bits are used to encode the alpha channel as the three DXT1 color channels. As such, the alpha channel is stored with higher precision than each of the color channels, because the alpha space is one-dimensional, as opposed to the three-dimensional color space. Furthermore, there are a total of 8 samples to represent the alpha values in a 4x4 block, as opposed to 4 samples to represent the color values. Because of the additional alpha channel, the DXT5 format consumes twice the amount of memory of the DXT1 format.

The DXT5 format is designed for color textures with a smooth alpha channel. However, this format can also be used to store object-space normal maps. In particular, better quality normal map compression can be achieved by using the DXT5 format and moving one of the components to the alpha channel. By moving one of the components to the alpha channel this component is stored with more precision. Furthermore, by encoding only two components in the DXT1 block of the DXT5 format, the accuracy with which these components are stored typically improves as well. For object-space normal maps there is no clear benefit to moving any particular component to the alpha channel, because the normal vectors may point in any direction, and all values can occur with similar frequencies for all components. When an object-space normal map does have most vectors in a specific direction, then there is clearly a benefit to mapping the axis most orthogonal to that direction to the alpha channel. However, in general it is not practical to change the encoding on a per normal map basis, because a different fragment program is required for each encoding. The following fragment program assumes the Z component is moved to the alpha channel. The fragment program shows how the components are mapped from the range [0, 1] to the range [-1, +1], while the Z component is also moved back in place from the alpha channel.

```
# input.x = normal.x ∈ [0, 1]
# input.y = normal.y ∈ [0, 1]
# input.z = 0
# input.w = normal.z ∈ [0, 1]

MAD normal, input.xywz, 2.0, -1.0
```

Just like DXT1 without re-normalization, this format results in minimal overhead in a fragment programs. The quality is significantly better than DXT1 compression of object-space normal maps. However, there are still noticeable blocking and banding artifacts.



The DXT5 compressed normal map on the right compared to the original normal map on the left.

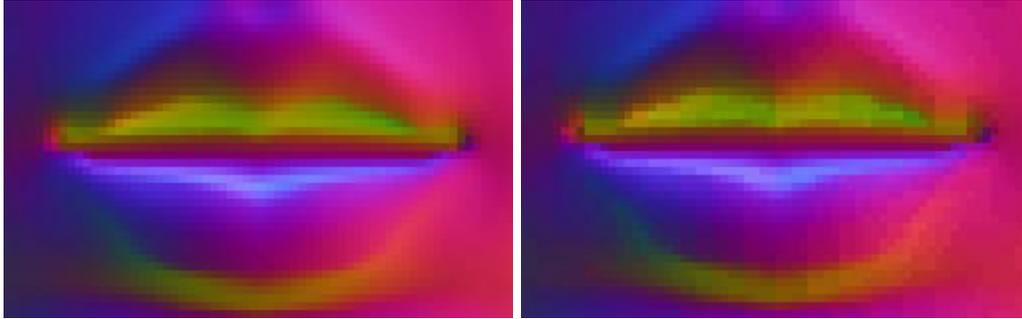
Using the third channel to store a scale factor like done for the YCoCg-DXT5 compression from [24] does not help much to improve the quality. The dynamic range of the individual components is typically too large, or the different components span different ranges that are far apart, while there is only one scale factor for the combined dynamic range.

Just like DXT1 compression of object-space normal maps, the quality can be improved by encoding a normal vector as a direction that is not necessarily unit-length. The following fragment program shows how to perform the swizzle and re-normalization.

```
# input.x = normal.x ∈ [0, 1]
# input.y = normal.y ∈ [0, 1]
# input.z = 0
# input.w = normal.z ∈ [0, 1]

MAD normal, input.xywz, 2.0, -1.0
DP3 scale, normal, normal
RSQ scale.x, scale.x
MUL normal, normal, scale.x
```

Encoding directions gives the compressor a lot more freedom, because the compressor can ignore the magnitude of the vectors, and a much larger percentage of all representable vectors can be used for the end points of the lines through normal space. The normal vectors are encoded using both the DXT1 block of the DXT5 format and the alpha channel, where the end points of the alpha channel are stored without quantization. As such, the potential search space for the end points of the lines can be very large, and high quality compression may take a considerable amount of time.



The DXT5 compressed normal map with re-normalization on the right compared to the original normal map on the left.

On current hardware, the DXT5 format with re-normalization in a fragment program results in the best quality compression of object-space normal maps.

3. Tangent-Space Normal Maps

Tangent-space normal vectors are stored relative to the interpolated tangent-space of the triangle vertices. Compression of tangent-space normal maps generally works better than compression of object-space normal maps, because the dynamic range is lower. The vectors are only on the unit-hemisphere at the front of the surface (the normal vectors never point into the object).

Furthermore, most normal vectors are close to the tip of the unit-hemisphere with Z close to 1.

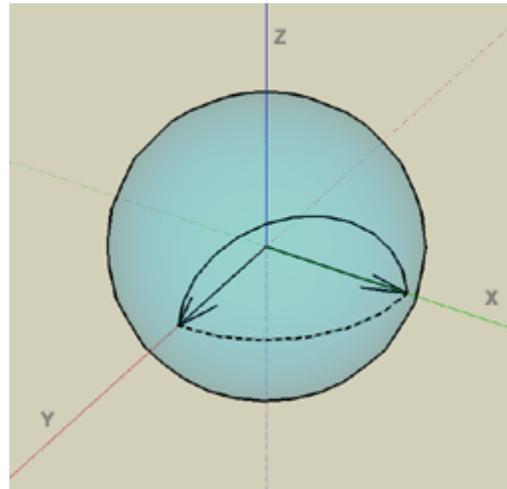
Using tangent-space normal maps in itself can be considered a form of compression compared to using object-space normal maps. A local transform is used to change the frequency domain of the vector components which reduces their storage requirements. The transform does require tangent vectors to be stored at the triangle vertices and, as such, comes at a cost. However, the storage requirements for the tangent vectors is relatively very small compared to the storage requirements for normal maps.

The compression of tangent-space normal maps can be improved by only storing the X and Y components of unit-length normal vectors, and deriving the Z components. The normal vectors are always pointing up out of the surface and the Z is always positive. Furthermore, the normal vectors are unit-length and, as such, the Z can be derived as follows.

$$Z = \text{sqrt}(1 - X * X - Y * Y)$$

The problem with reconstructing Z from X and Y is that it is a non-linear operation, and breaks down under bilinear filtering. The problem is most noticeable when interpolating between two normals in the XY-plane. Ideally a normal map is scaled up using spherical interpolation of the normal vectors, where the interpolated samples follow the shortest great arc on the unit sphere at a constant speed. Bilinear filtering of a three component normal map, with re-normalization in the fragment program, does not result in spherical interpolation at a constant speed, but at least the interpolated samples follow the shortest great arc. With a two-component normal map, however, where the Z is derived from the X and Y, the interpolated samples no longer necessarily follow the shortest great arc on the unit sphere. For instance, interpolation between

the two vectors in the figure below is expected to follow the dotted line. Instead, however, the interpolated samples are on the arc that goes up on the unit sphere.



Fortunately, real-world normal maps usually do not have many sharp normal boundaries with adjacent vectors close to the XY-plane, and most of the normals point straight up. As such, there are usually no noticeable artifacts when bilinearly or trilinearly filtering a two component normal map before deriving the Z components.

Only storing the X and Y components is in essence an orthographic projection of the normal vectors along the Z-axis onto the XY-plane. To reconstruct an original normal vector, a projection back onto the unit-hemisphere is used, by deriving the Z component from the X and Y. Instead of this orthographic projection, a stereographic projection can be used as well. For the stereographic projection the X and Y components are divided by one plus Z as follows, where (pX, pY) is the projection of the normal vector.

$$\begin{aligned} pX &= X / (1 + Z) \\ pY &= Y / (1 + Z) \end{aligned}$$

The original normal vector is reconstructed by projecting the stereographically projected vector back onto the unit-hemisphere as follows.

$$\begin{aligned} \text{denom} &= 2 / (1 + pX * pX + pY * pY) \\ X &= pX * \text{denom} \\ Y &= pY * \text{denom} \\ Z &= \text{denom} - 1 \end{aligned}$$

The advantage of using the stereographic projection is that the interpolated normal vectors behave better under bilinear or trilinear filtering. The interpolated normal vectors are still not on the shortest great arc, but they are closer, and have less of a tendency to go up on the unit-hemisphere.

The stereographic projection also causes a more even distribution of the pX and pY components with the angle on the unit-hemisphere. Although this may seem desirable, it is actually not,

because most tangent-space normal vectors are close to the tip of the unit-hemisphere. As such, there is actually an advantage to using the orthographic projection which results in more representations of vectors with Z close to 1. The compression techniques discussed below use the orthographic projection because for most normal maps it results in better quality compression.

Instead of the orthographic and stereographic projections it is also an option to use a perspective projection where the X and Y components are divided by the Z component. Normal maps that are transformed this way are also known as partial derivative normal maps.

$$\begin{aligned} pX &= X / Z \\ pY &= Y / Z \end{aligned}$$

The original normal vector is reconstructed by normalizing the vector (pX, pY, 1) which projects the vector back onto the unit-hemisphere. This is particularly interesting because on some graphics hardware normalizing a vector in a fragment program is very efficient.

```
denom = 1 / sqrt( 1 + pX * pX + pY * pY )
X = pX * denom
Y = pY * denom
Z = denom
```

Obviously the projection fails if the Z component is zero. As a matter of fact only normal vectors that are 45 degrees or less from pointing straight up ($Z > \sqrt{1/3}$) can be reconstructed correctly. The angle of this cone can be made wider or tighter by multiplying the Z component with a value larger than one or less than one respectively before dividing the X and Y components. In particular, the scale factor is the tangent of the desired angle where: $\tan(45^\circ) = 1$. The reciprocal scale factor will have to be used for the reconstruction of the components. Although the cone can be made infinitely small it is not possible to flatten the cone to a plane such that all normals on the complete hemisphere can be properly reconstructed ($\tan(90^\circ) = \text{infinity}$).

Despite these drawbacks this projection can result in surprisingly good quality compression of normal maps if most or all normals are within a known cone centered about the up vector in tangent space. The compression techniques discussed below, however, use the orthographic projection because this allows for proper compression of normal maps with normals that cover the complete hemisphere.

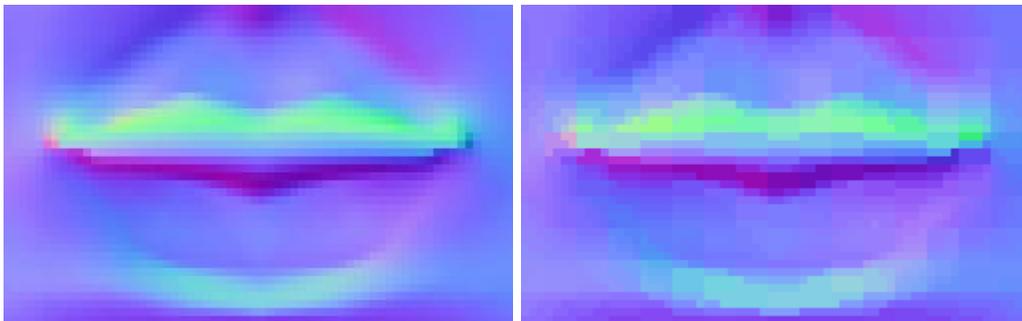
3.1 Tangent-Space DXT1

Using tangent-space normal maps only the X and Y components have to be stored in the DXT1 format, and the Z component can be derived in a fragment program. The following fragment program shows how the Z can be derived from the X and Y.

```
# input.x = normal.x ∈ [0, 1]
# input.y = normal.y ∈ [0, 1]
# input.z = 0
# input.w = 0

MAD normal, input, 2.0, -1.0
DP4_SAT normal.z, normal, normal;
MAD normal, normal, { 1, 1, -1, 0 }, { 0, 0, 1, 0 };
RSQ temp, normal.z;
MUL normal.z, temp;
```

The following images show a XY_DXT1 compressed normal map on the right, next to the original normal map on the left. The DXT1 compressed normal map shows noticeable blocking and banding artifacts.



XY_DXT1 compressed normal map on the right compared to the original normal map on the left.

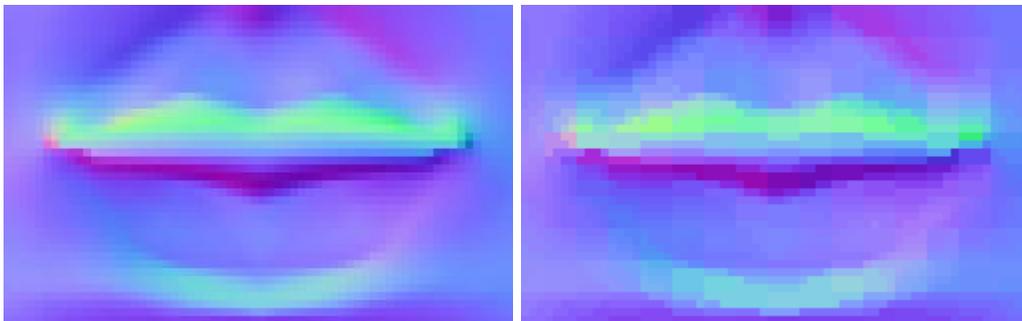
Although at first it may seem this kind of compression should produce superior quality, better quality compression can generally be achieved by storing all three components and re-normalizing in a fragment program, just like for object-space normal maps. When only the X and Y components are stored in the DXT1 format, the reconstructed normal vectors are automatically normalized by deriving the Z component. When the X and Y components are distorted due to the DXT1 compression, where all points are placed on a straight line through XY-space, the error in the derived Z can be quite large.

The fragment program shown below for re-normalizing the DXT1 compressed normals, is the same as the one used for DXT1 compressed object-space normal maps with re-normalization.

```
# input.x = normal.x ∈ [0, 1]
# input.y = normal.y ∈ [0, 1]
# input.z = normal.z ∈ [0, 1]
# input.w = 0

MAD normal, input, 2.0, -1.0
DP3 scale, normal, normal
RSQ scale.x, scale.x
MUL normal, normal, scale.x
```

The following images show a DXT1 compressed normal map with re-normalization on the right, next to the original normal map on the left.



DXT1 compressed normal map with re-normalization on the right compared to the original normal map on the left.

Either way, whether only storing two components in the DXT1 and deriving the Z, or storing all three components in the DXT1 format with re-normalization in the fragment program, the quality is rather poor.

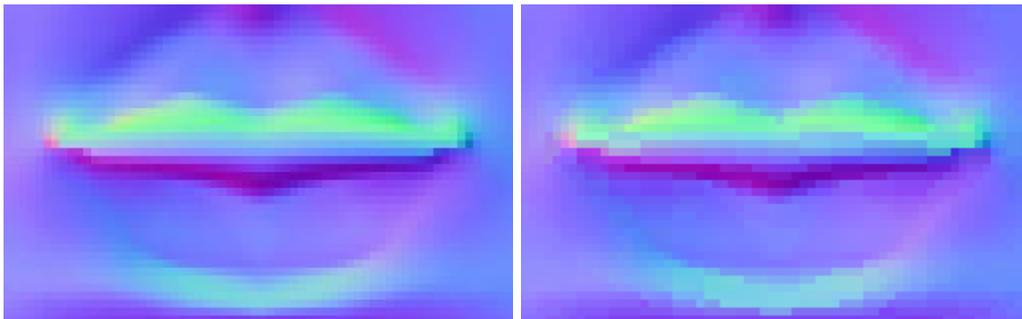
3.2 Tangent-Space DXT5

Just like for object-space normal maps, all three components can be stored in the DXT5 format. The best results are usually achieved when storing `_YZX` data. In other words the X component is moved to the alpha channel. This technique is also known as RxGB compression, and was employed in the computer game DOOM III. By moving the X component to the alpha channel, the X and Y components are encoded separately. This improves the quality because the X and Y components are most independent with the largest dynamic range. The Z is always positive and typically close to 1 and, as such, storing the Z component with the Y component in the DXT1 part of the DXT5 format causes little distortion of the Y component. Storing all three components results in minimal overhead in a fragment program as shown below.

```
# input.x = 0
# input.y = normal.y ∈ [0, 1]
# input.z = normal.z ∈ [0, 1]
# input.w = normal.x ∈ [0, 1]

MAD normal, input.wyzz, 2.0, -1.0
```

The following images show that, although the quality is better than DXT1 compression, there are still noticeable banding artifacts.



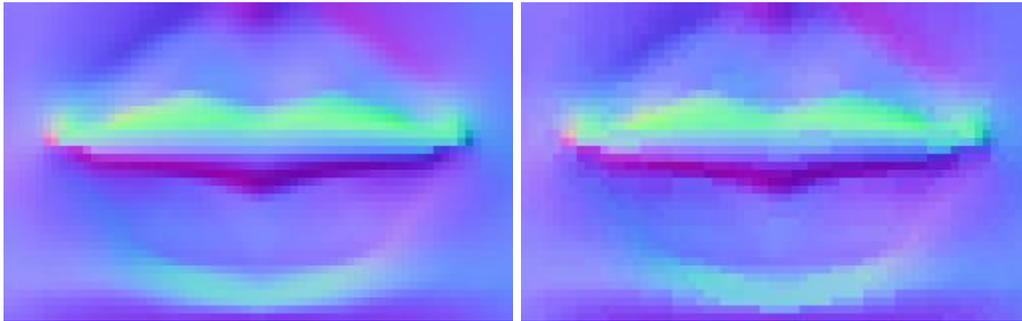
DXT5 compressed normal map on the right compared to the original normal map on the left.

Just like for object-space normal maps the quality can be improved by storing directions that are not necessarily unit-length. The best quality is typically achieved by also moving the X component to the DXT5 alpha channel. The following fragment program shows how the directions are re-normalized after moving the X component back in place from the alpha channel.

```
# input.x = normal.x ∈ [0, 1]
# input.y = normal.y ∈ [0, 1]
# input.z = 0
# input.w = normal.z ∈ [0, 1]

MAD normal, input.wyzz, 2.0, -1.0
DP3 scale, normal, normal
RSQ scale.x, scale.x
MUL normal, normal, scale.x
```

The following images show that encoding directions with re-normalization in a fragment program reduces the banding artifacts, but they are still quite noticeable.



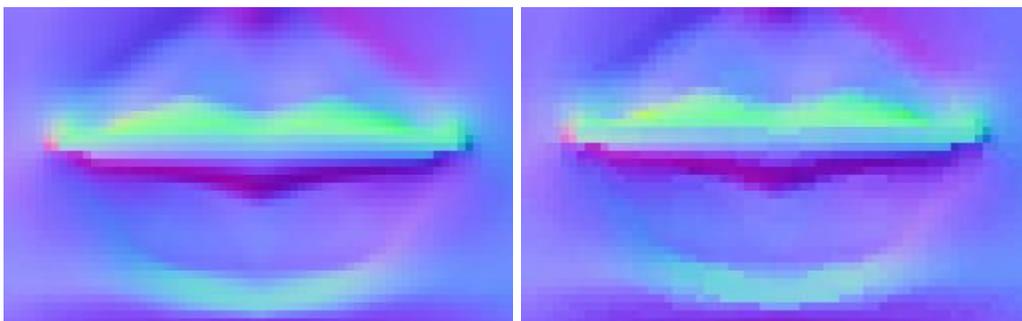
The DXT5 compressed normal map with re-normalization on the right compared to the original normal map on the left.

For most tangent-space normal maps better quality compression can be achieved by only storing the X and Y components in the DXT5 format and deriving the Z. This is also known as DXT5nm compression, and is most popular in today's computer games. The following fragment program shows how the Z is derived from the X and Y components.

```
# input.x = 0
# input.y = normal.y ∈ [0, 1]
# input.z = 0
# input.w = normal.x ∈ [0, 1]

MAD normal, input.wyzz, 2.0, -1.0
DP4_SAT normal.z, normal, normal;
MAD normal, normal, { 1, 1, -1, 0 }, { 0, 0, 1, 0 };
RSQ temp, normal.z;
MUL normal.z, temp;
```

The following images show that only storing the X and Y and deriving the Z, further reduces the banding artifacts.



DXT5 compressed normal map storing only X and Y on the right compared to the original normal map on the left.

When using XY_DXT1, _YZX DXT5 or _Y_X DXT5 compression for tangent-space normal maps, there is at least one spare channel that can be used to store a scale factor, which can be

used to counter quantization errors similar to what the YCoCg-DXT5 compressor from [24] does. However, trying to upscale the components to counter quantization errors does not improve the quality much (typically a PSNR improvement of less than 0.1 dB). The components can only be scaled up when they have a low dynamic range. Although most normals point straight up, and the magnitude of most X-Y vectors is relatively small, the dynamic range of the X-Y components is actually still quite large. Even if all normals never deviate more than 45 degrees from straight up, then each X or Y component may still map to the range $[-\cos(45^\circ), +\cos(45^\circ)]$, where $\cos(45^\circ) \cong 0.707$. In other words even with a deviation of less than 45 degrees from straight up, which is 50% of the angular range, each component may still cover more than 70% of the maximum dynamic range. On one hand, this is a good thing, because for the components of tangent-space normal vectors this means the largest part of the dynamic range covers the most frequently occurring values. On the other hand this means it is hard to upscale the components because of a relatively large dynamic range.

In the case of the `_Y_X` DXT5 compression of tangent-space normal maps there are two unused channels, and one of these channels can be used to also store a bias to center the dynamic range. This significantly increases the number of 4x4 blocks for which the values can be scaled up (such that typically more than 75% of all 4x4 blocks use a scale factor of at least 2). However, even using a bias to increase the number of scaled 4x4 blocks does not help much to improve the quality. The real problem is that the four sample points of the DXT1 block are simply not enough to accurately represent all the Y components of the normals in a 4x4 block. Introducing more sample points would significantly improve the quality but this is obviously not possible within the DXT5 format.

Instead of storing a bias and scale, one of the spare channels can also be used to store a rotation of the normal vectors in a 4x4 block about the Z-axis, as suggested in [11, 12]. Such a rotation can be used to find a much tighter bounding box of the X-Y vectors. In particular using `_Y_X` DXT5 compression such a rotation can be used to make sure that the axis with the largest dynamic range maps to the alpha channel, which, as such, is compressed with more precision. To be able to map the axis with the largest dynamic range to the alpha channel, a rotation of up to 180 degrees may be required. This rotation can be stored as a constant value over the whole 4x4 block in one of the 5-bit channels. Instead of storing the angle of rotation, the cosine of the angle can be stored, such that the cosine does not have to be calculated in a fragment program where the vectors need to be rotated back to their original positions. The sine for a rotation in the range $[0, 180]$ degrees is always positive and can, as such, trivially be derived from the cosine in a fragment program as follows.

```
sine = sqrt( 1 - cosine * cosine )
```

The PSNR improvement from rotating the normals in a 4x4 block is significant and typically in the range 2 to 3 dB. Unfortunately adjacent 4x4 blocks may need vastly different rotations, and under bilinear or trilinear filtering noticeable artifacts may appear for filtered texel samples at borders between two 4x4 blocks with different rotations. The X, Y and rotation are filtered separately before the rotation is applied to the X and Y components. As such, a filtered rotation is applied to filtered X and Y components, which is not the same as filtering X and Y components that are first rotated back to their original position. In other words, unless the normal

map is only point sampled, using a rotation is also not an option to improve the quality of DXT1 or DXT5 normal map compression.

Of course a denormalization value can still be stored in one of the spare channels as described in [8]. The denormalization value is used to scale down the normal vectors for lower mip levels, such that specular highlights fade with distance to alleviate aliasing artifacts.

3.3 Tangent-Space 3Dc

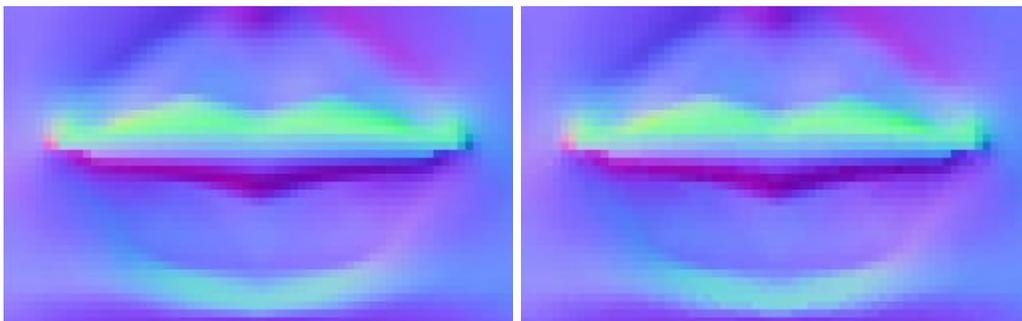
The 3Dc format [10] is specifically designed for tangent-space normal map compression and produces much better quality than DXT1 or DXT5 normal map compression. The 3Dc format stores only two channels and, as such, cannot be used for object-space normal maps. The format basically consists of two DXT5 alpha blocks for each 4x4 block of normals. In other words for each 4x4 block there are 8 samples for the X components and also 8 independent samples for the Y components. The Z components have to be derived in a fragment program.

The 3Dc format is also known as BC5 in DirectX 10 [5]. The same format can be loaded in OpenGL as LATC or RGTC. Using the LATC format the luminance is replicated in all three RGB channels. This can be particularly convenient, because this way the same swizzle (and fragment program code) can be used for both LATC and `_Y_X` DXT5 (DXT5nm) compressed normal maps. In other words the same fragment program can be used on hardware that does, and does not support 3Dc. The following fragment program shows how the Z is derived from the X and Y components when the normal map is stored in RGTC format.

```
# normal.x = x ∈ [0, 1]
# normal.y = y ∈ [0, 1]
# normal.z = 0
# normal.w = 0

MAD normal, normal, 2.0, -1.0
DP4 normal.z, normal, normal;
MAD normal, normal, { 1.0, 1.0, -1, 0 }, { 0, 0, 1, 0 };
RSQ temp, normal.z;
MUL normal.z, temp;
```

The following images show how 3Dc compression of normal maps, results in significantly less banding compared to `_Y_X` DXT5 (DXT5nm).



3Dc compressed normal map on the right compared

to the original normal map on the left.

Several extensions to 3Dc are proposed in [11] and a new format specifically designed for improved normal map compression is presented in [12]. However, these formats are not available in current graphics hardware. On all DirectX 10 compatible hardware the 3Dc (or BC5) format results in the best quality tangent-space normal map compression. On older hardware which does not implement 3Dc the best quality is generally achieved using `_Y_X DXT5 (DXT5nm)`.

4. Real-Time Compression on the CPU

While decompression from the formats described in the previous sections is done real-time in hardware, compression to these formats may take a considerable amount of time. Existing compressors are designed for high-quality off-line compression, not real-time compression [20, 21, 22]. However, real-time compression is quite useful to compress normal maps that are stored on disk in a different (more space efficient) format, and to compress dynamically generated normal maps.

In today's rendering engines, tangent-space normal maps are far more popular than object-space normal maps. On current hardware there are no compression formats available for object-space normal maps that work really well. The object-space normal map compression techniques described in section 2 all result in noticeable artifacts, or the compression is exceedingly expensive.

An object-space normal map can also not be used on an animated object. While the object surface animates the object-space normal vectors stay pointing in the same object-space direction. Tangent-space normal maps on the other hand, store normals relative to the tangent-space at the triangle vertices. When the surface of an object animates and the tangent vectors (stored at the triangle vertices) are transformed with the surface, the tangent-space normal vectors that are stored relative to these tangent vectors will also animate with the surface. As such the focus here is on real-time compression of tangent-space normal maps.

On hardware where the 3Dc (BC5 or LATC) format is not available, the `_Y_X DXT5 (DXT5nm)` format generally results in the best quality tangent-space normal map compression. The real-time `_Y_X DXT5` compressor is very similar to the real-time DXT5 compressor from [23].

First the bounding box of X-Y normal space is calculated. The two lines that are used to approximate the X and Y-values go from the minimums to the maximums of this bounding box. To improve the Mean Square Error (MSE), the bounding box is inset on either end with a quarter the distance between the sample points on the lines. The Y components are stored in the "green" channel and there are 4 sample points on the line through "color" space. As such, the minimum and maximum Y values are inset with 1/16th of the range. The X components are stored in the "alpha" channel and there are 8 sample points on the line through "alpha" space. As such, the minimum and maximum X values are inset with 1/32nd of the range. The inset is implemented

such that the minimum and maximum values are rounded outwards just like the YCoCg-DXT5 compressor from [24] does.

Only a single channel of the "color" channels is used to store the Y components of the normal vectors. Using this knowledge, the real-time DXT5 compressor from [23] can be optimized further specifically for _Y_X DXT5 compression. The best matching points on the line through Y-space can be found in a similar way the best matching points on the line through "alpha" space are found in the DXT5 compressor from [23]. First a set of cross-over points are calculated where a Y value goes from being closest to one sample point to another.

```
byte mid = ( max - min ) / ( 2 * 3 );
byte gb1 = max - mid;
byte gb2 = ( 2 * max + 1 * min ) / 3 - mid;
byte gb3 = ( 1 * max + 2 * min ) / 3 - mid;
```

A Y value can then be tested for being greater-equal to each of the cross-over points, and the results of these comparisons (0 for false and 1 for true) can be added together to calculate an index. This results in the following order where index 0 through 3 go from the minimum to the maximum.

index:	0	1	2	3
value:	min	$(\max + 2 * \min) / 3$	$(2 * \max + \min) / 3$	max

However, the "color" sample points are ordered differently in the DXT5 format as follows.

index:	0	1	2	3
value:	max	min	$(2 * \max + \min) / 3$	$(\max + 2 * \min) / 3$

Subtracting the results of the comparisons from four, and wrapping the result with a bitwise logical AND with 3, results in the following order.

index:	0	1	2	3
value:	min	max	$(2 * \max + \min) / 3$	$(\max + 2 * \min) / 3$

The order is close to correct, but the min and max are still swapped. The following code shows how the Y values are compared to the cross-over points, and how the indices are calculated from the results of the comparisons, where index 0 and 1 are swapped at the end by XOR-ing with the result of the comparison $(2 > \text{index})$.

```

unsigned int result = 0;
for ( int i = 15; i >= 0; i-- ) {
    result <<= 2;
    byte g = block[i*4];
    int b1 = ( g >= gb1 );
    int b2 = ( g >= gb2 );
    int b3 = ( g >= gb3 );
    int index = ( 4 - b1 - b2 - b3 ) & 3;
    index ^= ( 2 > index );
    result |= index;
}

```

Using SIMD instructions each byte comparison results in a byte with either all zero bits (when the expression is false), or all one bits (when the expression is true). When interpreted as a signed (two's-complements) integer, the result of a byte comparison is equal to either the number 0 (for false) or the number -1 (for true). Instead of explicitly subtracting a 1 for a comparison that results in true, the actual result of the comparison can simply be added to the value four as a signed integer.

The calculation of the indices for the "alpha" channel is very similar to the calculation used in the real-time DXT5 compressor from [23]. However, the calculation can be optimized further by also selecting the best matching sample points with subtraction as opposed to addition. First a set of cross-over points are calculated where an X value goes from being closest to one sample point to another.

```

byte mid = ( max - min ) / ( 2 * 7 );

byte ab1 = max - mid;
byte ab2 = ( 6 * max + 1 * min ) / 7 - mid;
byte ab3 = ( 5 * max + 2 * min ) / 7 - mid;
byte ab4 = ( 4 * max + 3 * min ) / 7 - mid;
byte ab5 = ( 3 * max + 4 * min ) / 7 - mid;
byte ab6 = ( 2 * max + 5 * min ) / 7 - mid;
byte ab7 = ( 1 * max + 6 * min ) / 7 - mid;

```

An X value can then be tested for being greater-equal to each of the cross-over points, and the results of these comparisons (0 for false and 1 for true) can be subtracted from 8 and wrapped using a bitwise logical AND with 7 to calculate the index. The first two indices are also swapped by xoring with the result of the comparison (2 > index) as shown in the following code.

```

byte indices[16];
for ( int i = 0; i < 16; i++ ) {
    byte a = block[i*4];
    int b1 = ( a >= ab1 );
    int b2 = ( a >= ab2 );
    int b3 = ( a >= ab3 );
    int b4 = ( a >= ab4 );
    int b5 = ( a >= ab5 );
    int b6 = ( a >= ab6 );
    int b7 = ( a >= ab7 );
    int index = ( 8 - b1 - b2 - b3 - b4 - b5 - b6 - b7 ) & 7;
    indices[i] = index ^ ( 2 > index );
}

```

The full implementation of the real-time `_Y_X DXT5` compressor can be found in appendix A. MMX and SSE2 implementations of this real-time compressor can be found in appendix B and C respectively.

Where available, the 3Dc (BC5 or LATC) format results in the best quality tangent-space normal map compression. The real-time 3Dc compressor first calculates the bounding box of X-Y normal space just like the `_Y_X DXT5` compressor does. The two lines that are used to approximate the X and Y-values go from the minimums to the maximums of this bounding box. To improve the Mean Square Error (MSE), the bounding box is inset on either end with a quarter the distance between the sample points on the lines. The 3Dc format basically stores two DXT5 alpha channels both with the same encoding and 8 sample points. As such, on both axes the bounding box is inset on either end with 1/32th of the range. The same code as used for the `_Y_X DXT5` compression, is used here as well to calculate the "alpha" channel indices, except that it is used twice. The full implementation of the real-time 3Dc compressor can be found in appendix A. MMX and SSE2 implementations of this real-time compressor can be found in appendix B and C respectively.

5. Real-Time Compression on the GPU

Real-time compression of tangent-space normal maps can also be performed on the GPU. This is possible thanks to new features available on DX10-class graphics hardware that enable rendering to integer textures and the use of bitwise and arithmetic integer operations..

To compress a normal map, a fragment program is used for each block of 4x4 texels by rendering a quad over the entire destination surface. The result of this fragment program is a compressed DXT block that is written to the texels of an integer texture. Both, DXT5 and 3Dc blocks are 128 bits, which is equal to one RGBA texel with 32 bits per component. As such, an unsigned integer RGBA texture is used as the render target when compressing a normal map to either format. The contents of this render target are then copied to the corresponding DXT texture by using Pixel Buffer Objects. This process is very similar to the one used for YCoCg-DXT5 compression that is described in more detail in [24].

3Dc compressed textures are exposed in OpenGL through two different extensions: `GL_EXT_texture_compression_latc` [25], and `GL_EXT_texture_compression_rgtc` [26]. The

former maps the X and Y components to the luminance and alpha channels, while the latter maps the X and Y components to red and green respectively, where the remaining channels are set to 0.

In the implementation described here the LATC format is used. This is slightly more convenient, because it allows sharing the same shader code used for the normal reconstruction:

```
N.xy = 2 * tex2D(image, texcoord).wy - 1;
N.z = sqrt(saturate(1 - N.x * N.x - N.y * N.y));
```

When using LATC the luminance is replicated in the RGB channels, so the W-Y swizzle maps the luminance and alpha components to X and Y. Similarly, when using `_Y_X DXT5`, the W-Y swizzle maps the green and alpha components to X and Y.

The same code as used in [24] to encode the alpha channel for YCoCg-DXT5 compression, can also be used to encode the X and Y components for 3Dc compression, and the X component for `_Y_X DXT5` compression. As shown in Section 4, the `_Y_X DXT5` compressor can also be optimized to compute the DXT1 block by fitting only the Y component. However, as noted in [23], the alpha space is a one-dimensional space and the points on the line through alpha space are equidistant, which allows the closest point for each original alpha value to be calculated through division. On the CPU this requires a rather slow scalar integer division, because there are no MMX or SSE2 instructions available for integer division. The division can be implemented as an integer multiplication with a shift. However, the divisor is not a constant which means a lookup table is required to get the multiplier. Multiplication also increases the dynamic range which limits the amount of parallelism that can be exploited through a SIMD instruction set. On the CPU there is a clear benefit to exploiting maximum parallelism by using simple operations on the smallest possible elements (bytes) without increasing the dynamic range. However, on the GPU, scalar floating point math is used, and a division and/or multiplication is relatively cheap. As such, the X and Y components can be mapped to the respective indices by applying only a scale and a bias. The CG code for the index calculation of the Y component for the `_Y_X DXT5` format is as follows:

```
const int GREEN_RANGE = 3;

float bias = maxGreen + (maxGreen - minGreen) / (2.0 * GREEN_RANGE);
float scale = 1.0f / (maxGreen - minGreen);

// Compute indices
uint indices = 0;
for (int i = 0; i < 16; i++)
{
    uint index = saturate((bias - block[i].y) * scale) * GREEN_RANGE;
    indices |= index << (i * 2);
}

uint i0 = (indices & 0x55555555);
uint i1 = (indices & 0xAAAAAAAA) >> 1;
indices = ((i0 ^ i1) << 1) | i1;
```

The same can be done for the X component of the `_Y_X` DXT5 format, and for both the X and Y component of the 3Dc format:

```
const int ALPHA_RANGE = 7;

float bias = maxAlpha + (maxAlpha - minAlpha) / (2.0 * ALPHA_RANGE);
float scale = 1.0f / (maxAlpha - minAlpha);

uint2 indices = 0;

for (int i = 0; i < 6; i++)
{
    uint index = saturate((bias - block[i].x) * scale) * ALPHA_RANGE;
    indices.x |= index << (3 * i);
}

for (int i = 6; i < 16; i++)
{
    uint index = saturate((bias - block[i].x) * scale) * ALPHA_RANGE;
    indices.y |= index << (3 * i - 18);
}

uint2 i0 = (indices >> 0) & 0x09249249;
uint2 i1 = (indices >> 1) & 0x09249249;
uint2 i2 = (indices >> 2) & 0x09249249;

i2 ^= i0 & i1;
i1 ^= i0;
i0 ^= (i1 | i2);

indices.x = (i2.x << 2) | (i1.x << 1) | i0.x;
indices.y = (((i2.y << 2) | (i1.y << 1) | i0.y) << 2) | (indices.x >> 16);
indices.x <=< 16;
```

The full Cg 2.0 implementations of the real-time `_Y_X` DXT5 (DXT5nm) normal map compressor, and the real-time 3Dc (BC5 or LATC) normal map compressor, can be found in appendix D.

6. Compression on the CPU vs. GPU

As shown in the previous sections high performance normal map compression can be implemented on both the CPU and the GPU. Whether the compression is best implemented on the CPU or the GPU is application dependent.

Real-time compression on the CPU is useful for normal maps that are dynamically created on the CPU. Compression on the CPU is also particularly useful for transcoding normal maps that are streamed from disk in a format that cannot be used for rendering. For example, a normal map or a height map may be stored in JPEG format on disk and, as such, cannot be used directly for rendering. Only some parts of the JPEG decompression algorithm can currently be implemented efficiently on the GPU. Memory can be saved on the graphics card, and rendering performance

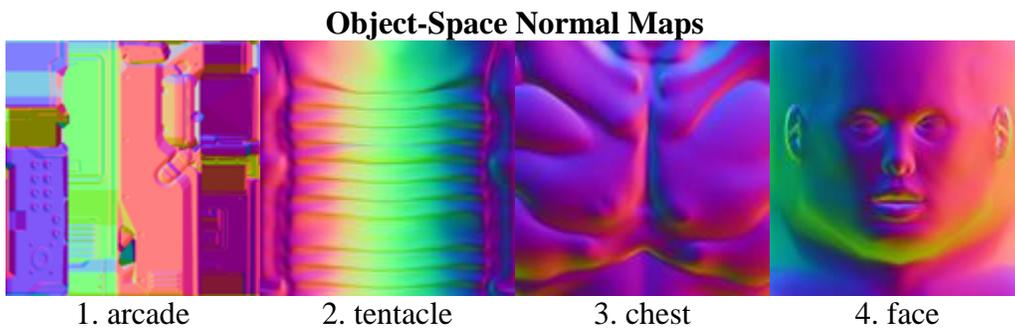
can be improved, by decompressing the original data and re-compressing it to DXT format. The advantage of re-compressing the texture data on the CPU is that the amount of data uploaded to the graphics card is minimal. Furthermore, when the compression is performed on the CPU, the full GPU can be used for rendering work as it does not need to perform any compression. With a definite trend to a growing number of cores on today's CPUs, there are typically free cores laying around that can easily be used for texture compression.

Real-time compression on the GPU may be less useful for transcoding, because of increased bandwidth requirements for uploading uncompressed texture data and because the GPU may already be tasked with expensive rendering work. However, real-time compression on the GPU is very useful for compressed render targets. The compression on the GPU can be used to save memory when rendering to a texture. Furthermore, such compressed render targets can improve the performance if the data from the render target is used for further rendering. The render target is compressed once, while the resulting data may be accessed many times during rendering. The compressed data results in reduced bandwidth requirements during rasterization and can, as such, significantly improve performance.

7. Results

7.1 Object-Space

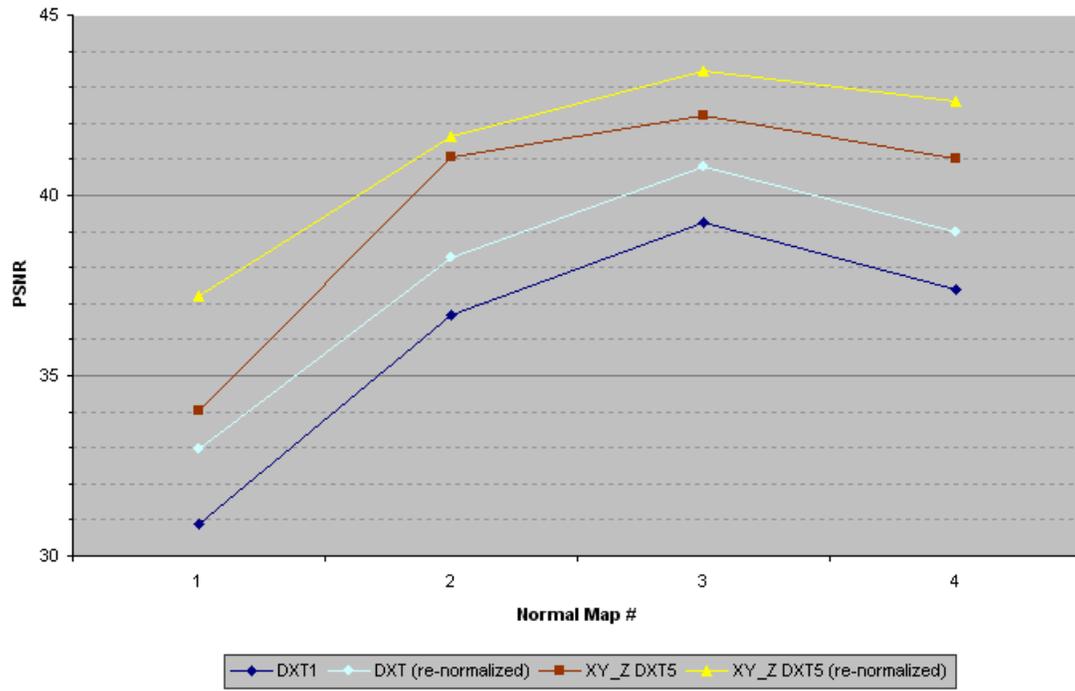
The object-space normal map compression techniques have been tested with the object-space normal maps shown below.



The Peak Signal to Noise Ratio (PSNR) has been calculated over the unweighted X, Y and Z values, stored as 8-bit unsigned integers.

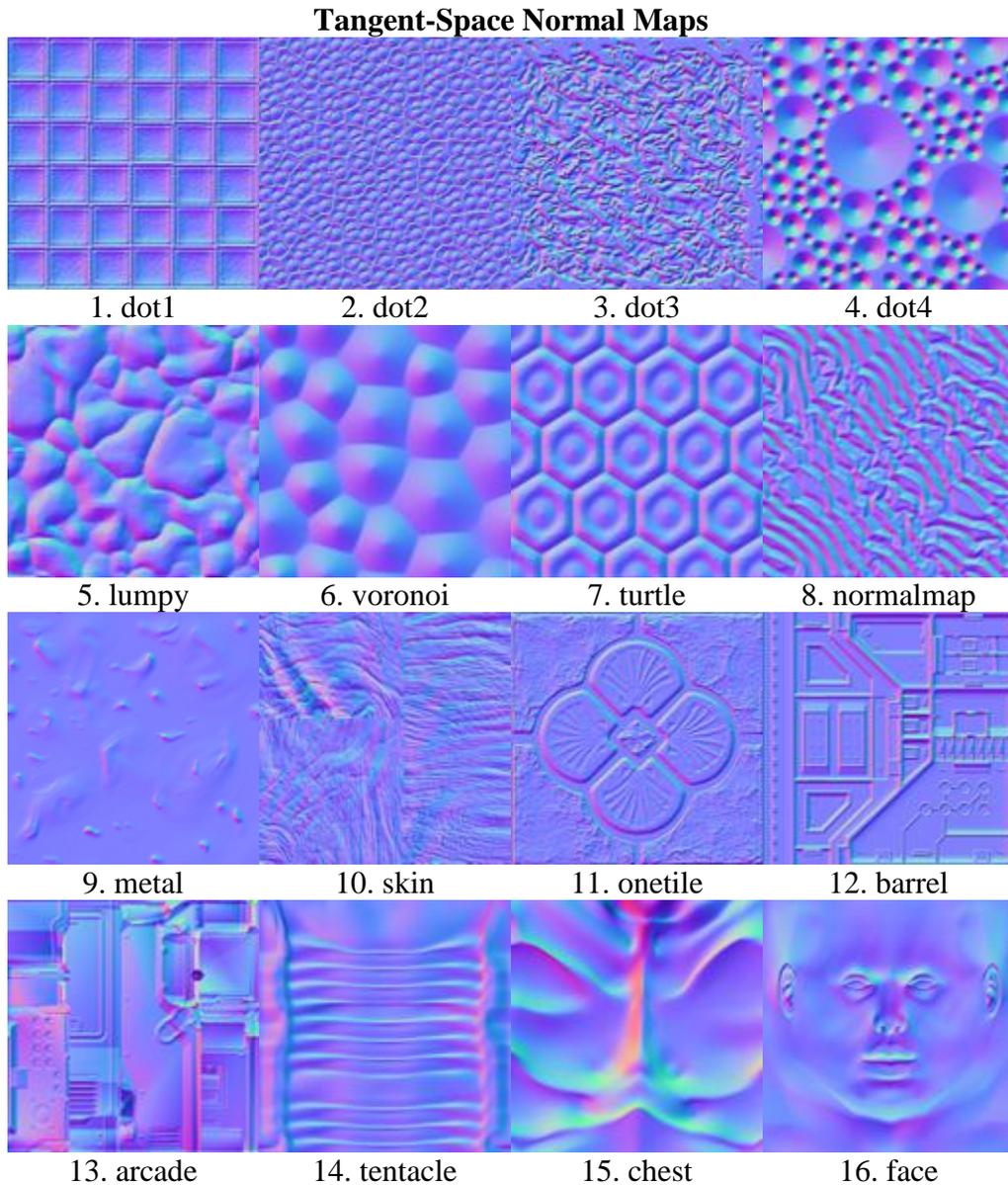
image	PSNR			
	XYZ	re-normalized	XY_Z	re-normalized
	DXT1	XYZ DXT1	XY_Z DXT5	XY_Z DXT5
<u>01 arcade</u>	30.90	32.95	34.02	37.23
<u>02 tentacle</u>	36.68	38.29	41.04	41.62
<u>03 chest</u>	39.24	40.79	42.22	43.47
<u>04 face</u>	37.38	38.99	41.03	42.60

Object-Space PSNR



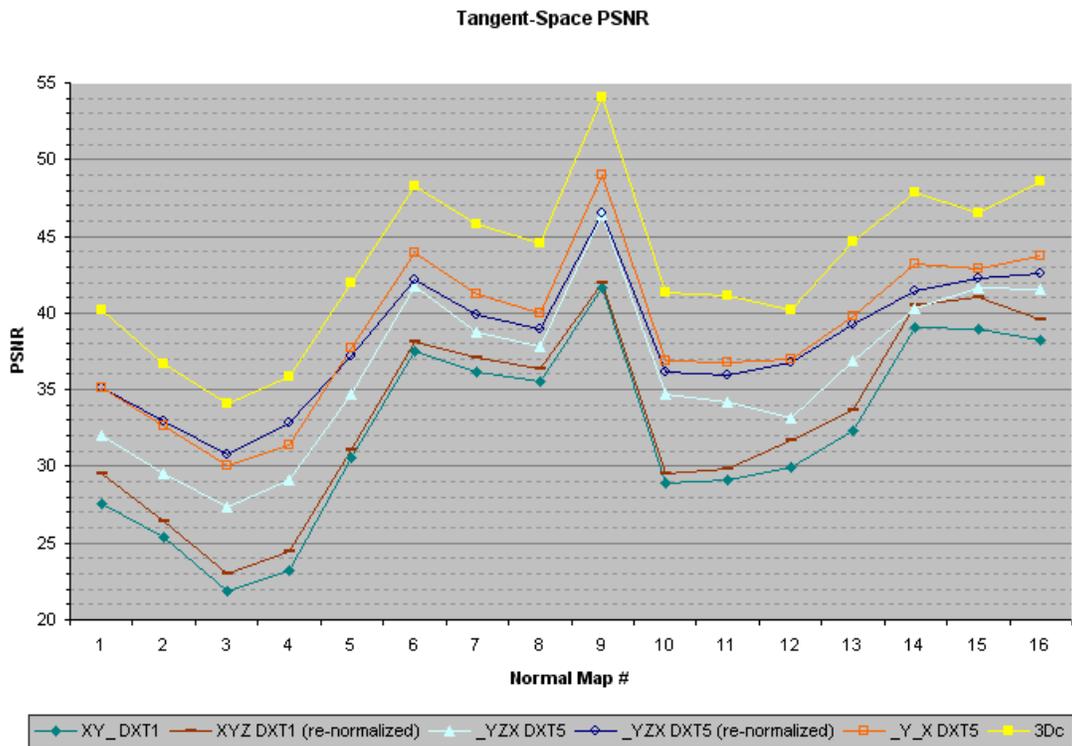
7.2 Tangent-Space

The tangent-space normal map compression techniques have been tested with the tangent-space normal maps shown below.

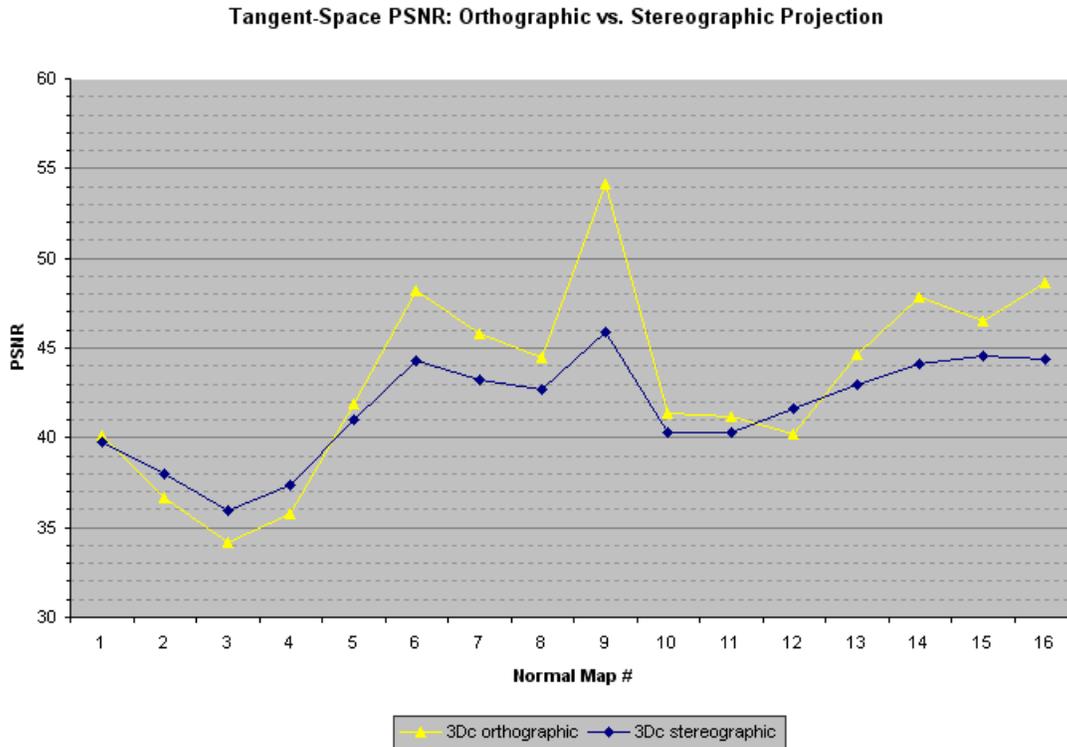


The Peak Signal to Noise Ratio (PSNR) has been calculated over the unweighted X, Y and Z values, stored as 8-bit unsigned integers.

PSNR						
image	XY_DXT1	re-normalized XYZ DXT1	_YZX DXT5	re-normalized _YZX DXT5	_Y_X DXT5	3Dc
01_dot1	27.61	29.51	32.00	35.16	35.07	40.15
02_dot2	25.39	26.45	29.55	32.92	32.68	36.70
03_dot3	21.88	23.05	27.34	30.77	30.02	34.13
04_dot4	23.18	24.46	29.16	32.81	31.38	35.80
05_lumpy	30.54	31.13	34.70	37.15	37.73	41.92
06_voronoi	37.53	38.16	41.72	42.16	43.93	48.23
07_turtle	36.12	37.06	38.74	39.93	41.22	45.76
08_normalmap	35.57	36.36	37.78	38.95	40.00	44.49
09_metal	41.65	41.99	46.37	46.55	49.03	54.10
10_skin	28.95	29.48	34.68	36.20	36.83	41.37
11_onetile	29.08	29.82	34.17	35.98	36.76	41.14
12_barrel	29.93	31.67	33.15	36.79	37.03	40.20
13_arcade	32.31	33.63	36.86	39.24	39.81	44.61
14_tentacle	39.03	40.47	40.30	41.39	43.23	47.82
15_chest	38.92	41.03	41.64	42.29	42.87	46.52
16_face	38.27	39.58	41.59	42.55	43.71	48.61

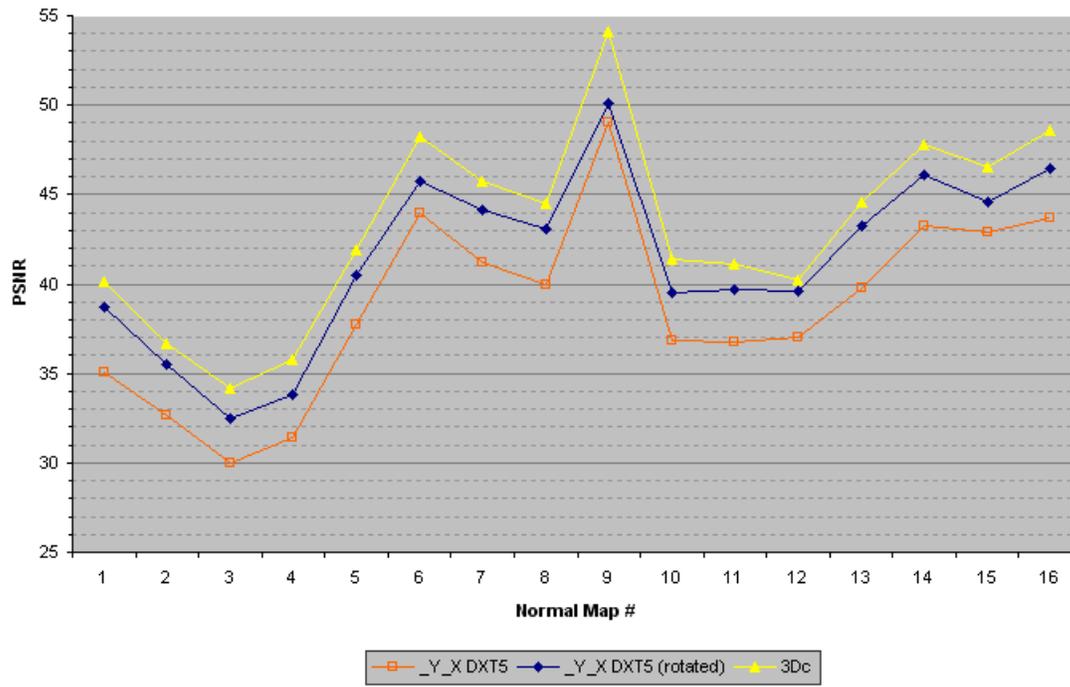


The following graph uses the 3Dc format to show the quality difference between the orthographic and stereographic projections. The stereographic projection results in more consistent results but for most normal maps the quality is significantly lower.



The following graph is only of theoretical interest, in that it shows the quality improvement from rotating the normals in a 4x4 block, and storing the rotation in one of the unused channels in the _Y_X DXT5 format. The graph shows the quality improvement for normal maps that are only point sampled, because filtering causes noticeable artifacts for texel samples between 4x4 blocks with different rotations.

Tangent-Space PSNR: _Y_X DXT5 Rotated

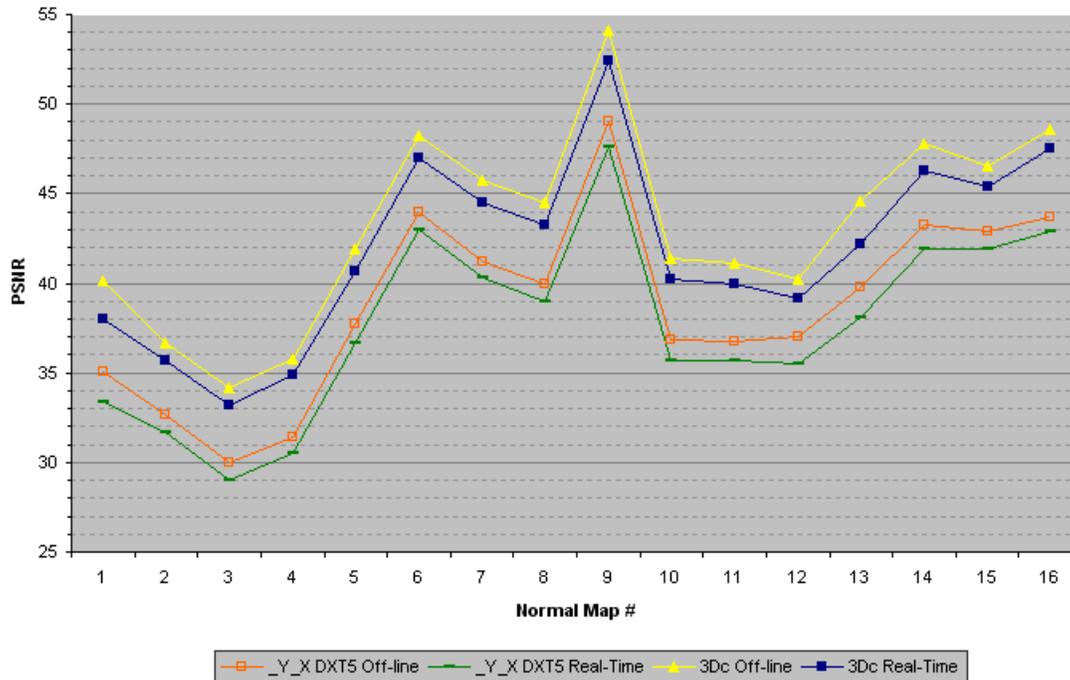


7.3 Real-Time Tangent-Space

The real-time tangent-space normal map compressors have been tested with the same tangent-space normal maps shown above. The Peak Signal to Noise Ratio (PSNR) has been calculated over the unweighted X, Y and Z values, stored as 8-bit unsigned integers.

image	PSNR			
	off-line	real-time	off-line	real-time
	<u>_Y_X</u> DXT5	<u>_Y_X</u> DXT5	3Dc	3Dc
<u>01_dot1</u>	35.07	33.36	40.15	37.99
<u>02_dot2</u>	32.68	31.67	36.70	35.67
<u>03_dot3</u>	30.02	29.03	34.13	33.22
<u>04_dot4</u>	31.38	30.49	35.80	34.89
<u>05_lumpy</u>	37.73	36.63	41.92	40.63
<u>06_voronoj</u>	43.93	42.99	48.23	46.99
<u>07_turtle</u>	41.22	40.30	45.76	44.50
<u>08_normalmap</u>	40.00	38.99	44.49	43.26
<u>09_metal</u>	49.03	47.60	54.10	52.45
<u>10_skin</u>	36.83	35.69	41.37	40.20
<u>11_onetile</u>	36.76	35.67	41.14	39.92
<u>12_barrel</u>	37.03	35.51	40.20	39.11
<u>13_arcade</u>	39.81	38.05	44.61	42.18
<u>14_tentacle</u>	43.23	41.90	47.82	46.31
<u>15_chest</u>	42.87	41.95	46.52	45.38
<u>16_face</u>	43.71	42.85	48.61	47.53

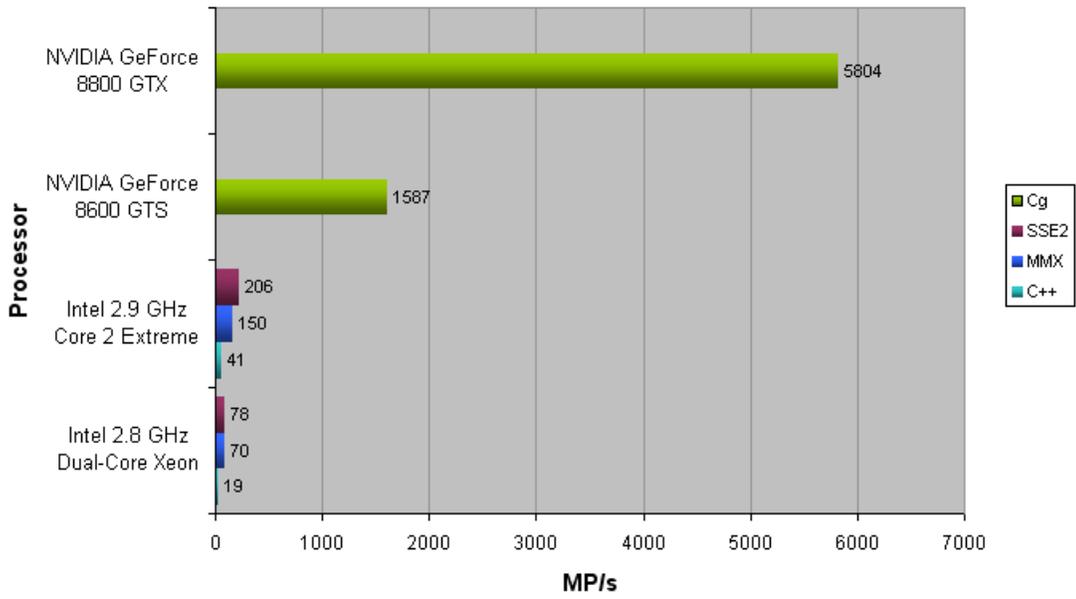
Tangent-Space PSNR: Off-line vs. Real-Time



The performance of the SIMD optimized real-time compressors has been tested on an Intel® 2.8 GHz dual-core Xeon® ("Paxville" 90nm NetBurst microarchitecture) and an Intel® 2.9 GHz Core™2 Extreme ("Conroe" 65nm Core 2 microarchitecture). Only a single core of these processors was used for the compression. Since the texture compression is block based, the compression algorithms can easily use multiple threads to utilize all cores of these processors. When using multiple cores there is an expected linear speed up with the number of available cores. The performance of the Cg 2.0 implementations has also been tested on a NVIDIA GeForce 8600 GTS and a NVIDIA GeForce 8800 GTX.

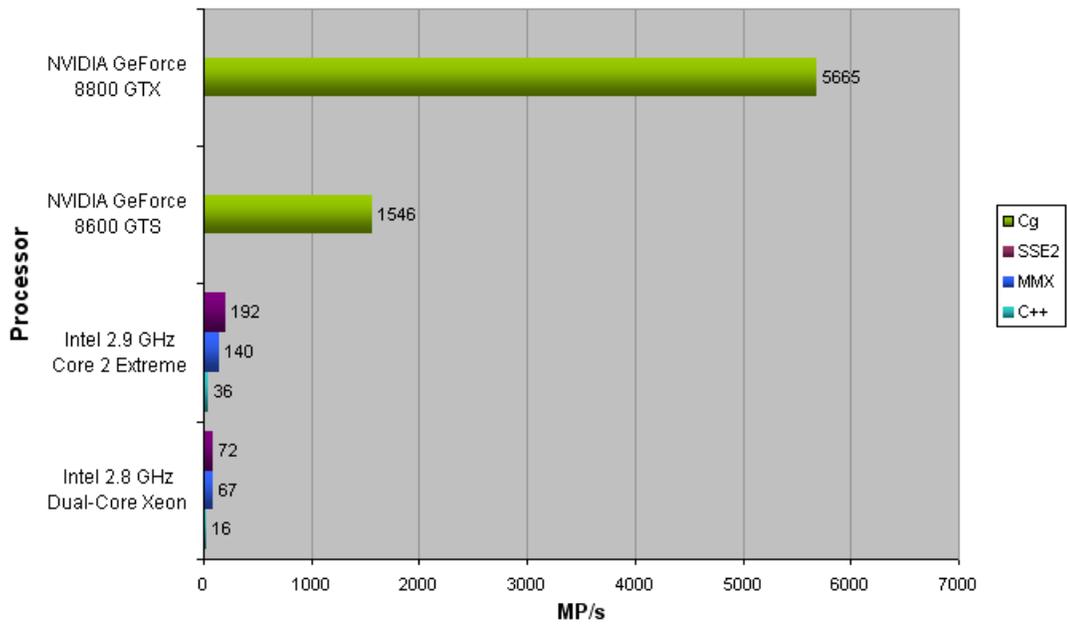
The following figure shows the number of Mega Pixels that can be compressed to the _Y_X DXT5 format per second (higher MP/s = better).

Real-Time _X_Y DXT5 Performance



The following figure shows the number of Mega Pixels that can be compressed to the 3Dc format per second (higher MP/s = better).

Real-Time 3Dc Performance



8. Conclusion

Existing color texture compression formats can also be used to store normal maps, but the results vary. The latest graphics hardware also implements formats specifically designed for normal map compression. While decompression from these formats happens in real-time in hardware during rendering, compression to these formats may take a considerable amount of time. Existing compressors are designed for high-quality off-line compression, not real-time compression. However, at the cost of a little quality, normal maps can also be compressed real-time on both the CPU and GPU, which is useful for transcoding normal maps from a different format and compression of dynamically generated normal maps.

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Appendix A

```
/*
Real-Time Normal Map Compression (C++)
Copyright (C) 2008 Id Software, Inc.
Written by J.M.P. van Waveren

This code is free software; you can redistribute it and/or
modify it under the terms of the GNU Lesser General Public
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version 2.1 of the License, or (at your option) any later version.

This code is distributed in the hope that it will be useful,
but WITHOUT ANY WARRANTY; without even the implied warranty of
MERCHANTABILITY or FITNESS FOR A PARTICULAR PURPOSE. See the GNU
Lesser General Public License for more details.
*/

typedef unsigned char   byte;
typedef unsigned short word;
typedef unsigned int    dword;

#define INSET_COLOR_SHIFT    4    // inset color channel
#define INSET_ALPHA_SHIFT    5    // inset alpha channel

#define C565_5_MASK          0xF8  // 0xFF minus last three bits
#define C565_6_MASK          0xFC  // 0xFF minus last two bits

byte *globalOutData;

void EmitByte( byte b ) {
    globalOutData[0] = b;
    globalOutData += 1;
}

void EmitWord( word s ) {
    globalOutData[0] = ( s >> 0 ) & 255;
    globalOutData[1] = ( s >> 8 ) & 255;
    globalOutData += 2;
}

void EmitDoubleWord( dword i ) {
    globalOutData[0] = ( i >> 0 ) & 255;
    globalOutData[1] = ( i >> 8 ) & 255;
    globalOutData[2] = ( i >> 16 ) & 255;
    globalOutData[3] = ( i >> 24 ) & 255;
    globalOutData += 4;
}

word NormalYTo565( byte y ) {
    return ( ( y >> 2 ) << 5 );
}
```

```

void ExtractBlock( const byte *inPtr, const int width, byte *block ) {
    for ( int j = 0; j < 4; j++ ) {
        memcpy( &block[j*4*4], inPtr, 4*4 );
        inPtr += width * 4;
    }
}

void GetMinMaxNormalsBBox( const byte *block, byte *minNormal, byte
*maxNormal ) {

    minNormal[0] = minNormal[1] = 255;
    maxNormal[0] = maxNormal[1] = 0;

    for ( int i = 0; i < 16; i++ ) {
        if ( block[i*4+0] < minNormal[0] ) {
            minNormal[0] = block[i*4+0];
        }
        if ( block[i*4+1] < minNormal[1] ) {
            minNormal[1] = block[i*4+1];
        }
        if ( block[i*4+0] > maxNormal[0] ) {
            maxNormal[0] = block[i*4+0];
        }
        if ( block[i*4+1] > maxNormal[1] ) {
            maxNormal[1] = block[i*4+1];
        }
    }
}

void InsetNormalsBBoxDXT5( byte *minNormal, byte *maxNormal ) {
    int inset[4];
    int mini[4];
    int maxi[4];

    inset[0] = ( maxNormal[0] - minNormal[0] ) - ((1<<<< INSET_ALPHA_SHIFT )
+ inset[0] ) >> INSET_ALPHA_SHIFT;
    mini[1] = ( ( minNormal[1] << INSET_COLOR_SHIFT ) + inset[1] ) >>
INSET_COLOR_SHIFT;

    maxi[0] = ( ( maxNormal[0] << INSET_ALPHA_SHIFT ) - inset[0] ) >>
INSET_ALPHA_SHIFT;
    maxi[1] = ( ( maxNormal[1] << INSET_COLOR_SHIFT ) - inset[1] ) >>
INSET_COLOR_SHIFT;

    mini[0] = ( mini[0] >= 0 ) ? mini[0] : 0;
    mini[1] = ( mini[1] >= 0 ) ? mini[1] : 0;

    maxi[0] = ( maxi[0] <= 255 ) ? maxi[0] : 255;
    maxi[1] = ( maxi[1] <= 255 ) ? maxi[1] : 255;

    minNormal[0] = mini[0];
    minNormal[1] = ( mini[1] & C565_6_MASK ) | ( mini[1] >> 6 );

    maxNormal[0] = maxi[0];
    maxNormal[1] = ( maxi[1] & C565_6_MASK ) | ( maxi[1] >> 6 );
}

```

```

void InsetNormalsBBox3Dc( byte *minNormal, byte *maxNormal ) {
    int inset[4];
    int mini[4];
    int maxi[4];

    inset[0] = ( maxNormal[0] - minNormal[0] ) - ((1<<<< INSET_ALPHA_SHIFT )
+ inset[0] ) >> INSET_ALPHA_SHIFT;
    mini[1] = ( ( minNormal[1] << INSET_ALPHA_SHIFT ) + inset[1] ) >>
INSET_ALPHA_SHIFT;

    maxi[0] = ( ( maxNormal[0] << INSET_ALPHA_SHIFT ) - inset[0] ) >>
INSET_ALPHA_SHIFT;
    maxi[1] = ( ( maxNormal[1] << INSET_ALPHA_SHIFT ) - inset[1] ) >>
INSET_ALPHA_SHIFT;

    mini[0] = ( mini[0] >= 0 ) ? mini[0] : 0;
    mini[1] = ( mini[1] >= 0 ) ? mini[1] : 0;

    maxi[0] = ( maxi[0] <= 255 ) ? maxi[0] : 255;
    maxi[1] = ( maxi[1] <= 255 ) ? maxi[1] : 255;

    minNormal[0] = mini[0];
    minNormal[1] = mini[1];

    maxNormal[0] = maxi[0];
    maxNormal[1] = maxi[1];
}

void EmitAlphaIndices( const byte *block, const int offset, const byte
minAlpha, const byte maxAlpha ) {
    byte mid = ( maxAlpha - minAlpha ) / ( 2 * 7 );

    byte ab1 = maxAlpha - mid;
    byte ab2 = ( 6 * maxAlpha + 1 * minAlpha ) / 7 - mid;
    byte ab3 = ( 5 * maxAlpha + 2 * minAlpha ) / 7 - mid;
    byte ab4 = ( 4 * maxAlpha + 3 * minAlpha ) / 7 - mid;
    byte ab5 = ( 3 * maxAlpha + 4 * minAlpha ) / 7 - mid;
    byte ab6 = ( 2 * maxAlpha + 5 * minAlpha ) / 7 - mid;
    byte ab7 = ( 1 * maxAlpha + 6 * minAlpha ) / 7 - mid;

    block += offset;

    byte indices[16];
    for ( int i = 0; i < 16; i++ ) {
        byte a = block[i*4];
        int b1 = ( a >= ab1 );
        int b2 = ( a >= ab2 );
        int b3 = ( a >= ab3 );
        int b4 = ( a >= ab4 );
        int b5 = ( a >= ab5 );
        int b6 = ( a >= ab6 );
        int b7 = ( a >= ab7 );
        int index = ( 8 - b1 - b2 - b3 - b4 - b5 - b6 - b7 ) & 7;
        indices[i] = index ^ ( 2 > index );
    }
}

```

```

    EmitByte( (indices[ 0] >> 0) | (indices[ 1] << 3) | (indices[ 2] << 6) );
    EmitByte( (indices[ 2] >> 2) | (indices[ 3] << 1) | (indices[ 4] << 4) |
(indices[ 5] << 7) );
    EmitByte( (indices[ 5] >> 1) | (indices[ 6] << 2) | (indices[ 7] << 5) );

    EmitByte( (indices[ 8] >> 0) | (indices[ 9] << 3) | (indices[10] << 6) );
    EmitByte( (indices[10] >> 2) | (indices[11] << 1) | (indices[12] << 4) |
(indices[13] << 7) );
    EmitByte( (indices[13] >> 1) | (indices[14] << 2) | (indices[15] << 5) );
}

```

```

void EmitGreenIndices( const byte *block, const int offset, const byte
minGreen, const byte maxGreen ) {

```

```

    byte mid = ( maxGreen - minGreen ) / ( 2 * 3 );

```

```

    byte gb1 = maxGreen - mid;

```

```

    byte gb2 = ( 2 * maxGreen + 1 * minGreen ) / 3 - mid;

```

```

    byte gb3 = ( 1 * maxGreen + 2 * minGreen ) / 3 - mid;

```

```

    block += offset;

```

```

    unsigned int result = 0;

```

```

    for ( int i = 15; i >= 0; i-- ) {

```

```

        result <<= 2;

```

```

        byte g = block[i*4];

```

```

        int b1 = ( g >= gb1 );

```

```

        int b2 = ( g >= gb2 );

```

```

        int b3 = ( g >= gb3 );

```

```

        int index = ( 4 - b1 - b2 - b3 ) & 3;

```

```

        index ^= ( 2 > index );

```

```

        result |= index;

```

```

    }

```

```

    EmitUInt( result );

```

```

}

```

```

void CompressNormalMapDXT5( const byte *inBuf, byte *outBuf, int width, int
height, int &outputBytes ) {

```

```

    byte block[64];

```

```

    byte normalMin[4];

```

```

    byte normalMax[4];

```

```

    globalOutData = outBuf;

```

```

    for ( int j = 0; j < height; j += 4, inBuf += width * 4*4 ) {

```

```

        for ( int i = 0; i < width; i += 4 ) {

```

```

            ExtractBlock( inBuf + i * 4, width, block );

```

```

            GetMinMaxNormalsBBox( block, normalMin, normalMax );

```

```

            InsetNormalsBBoxDXT5( normalMin, normalMax );

```

```

            // Write out Nx into alpha channel.

```

```

            EmitByte( normalMax[0] );

```

```

            EmitByte( normalMin[0] );

```

```

        EmitAlphaIndices( block, 0, normalMin[0], normalMax[0] );

        // Write out Ny into green channel.
        EmitUShort( NormalYTo565( normalMax[1] ) );
        EmitUShort( NormalYTo565( normalMin[1] ) );
        EmitGreenIndices( block, 1, normalMin[1], normalMax[1] );
    }
}

outputBytes = outData - outBuf;
}

void CompressNormalMap3Dc( const byte *inBuf, byte *outBuf, int width, int
height, int &outputBytes ) {
    byte block[64];
    byte normalMin[4];
    byte normalMax[4];

    globalOutData = outBuf;

    for ( int j = 0; j < height; j += 4, inBuf += width * 4*4 ) {
        for ( int i = 0; i < width; i += 4 ) {

            ExtractBlock( inBuf + i * 4, width, block );

            GetMinMaxNormalsBBox( block, normalMin, normalMax );
            InsetNormalsBBox3Dc( normalMin, normalMax );

            // Write out Nx as an alpha channel.
            EmitByte( normalMax[0] );
            EmitByte( normalMin[0] );
            EmitAlphaIndices( block, 0, normalMin[0], normalMax[0] );

            // Write out Ny as an alpha channel.
            EmitByte( normalMax[1] );
            EmitByte( normalMin[1] );
            EmitAlphaIndices( block, 1, normalMin[1], normalMax[1] );
        }
    }

    outputBytes = outData - outBuf;
}

```



```

    mov     eax, width
    shl    eax, 2
    movq   mm0, qword ptr [esi+0]
    movq   qword ptr [edi+ 0], mm0
    movq   mm1, qword ptr [esi+8]
    movq   qword ptr [edi+ 8], mm1
    movq   mm2, qword ptr [esi+eax+0]
    movq   qword ptr [edi+16], mm2
    movq   mm3, qword ptr [esi+eax+8]
    movq   qword ptr [edi+24], mm3
    movq   mm4, qword ptr [esi+eax*2+0]
    movq   qword ptr [edi+32], mm4
    movq   mm5, qword ptr [esi+eax*2+8]
    add    esi, eax
    movq   qword ptr [edi+40], mm5
    movq   mm6, qword ptr [esi+eax*2+0]
    movq   qword ptr [edi+48], mm6
    movq   mm7, qword ptr [esi+eax*2+8]
    movq   qword ptr [edi+56], mm7
    emms

}
}

void GetMinMaxNormalsBBox_MMX( const byte *block, byte *minNormal, byte
*maxNormal ) {
    __asm {
        mov     eax, block
        mov     esi, minNormal
        mov     edi, maxNormal
        pshufw  mm0, qword ptr [eax+ 0], R_SHUFFLE_D( 0, 1, 2, 3 )
        pshufw  mm1, qword ptr [eax+ 0], R_SHUFFLE_D( 0, 1, 2, 3 )
        pminub  mm0, qword ptr [eax+ 8]
        pmaxub  mm1, qword ptr [eax+ 8]
        pminub  mm0, qword ptr [eax+16]
        pmaxub  mm1, qword ptr [eax+16]
        pminub  mm0, qword ptr [eax+24]
        pmaxub  mm1, qword ptr [eax+24]
        pminub  mm0, qword ptr [eax+32]
        pmaxub  mm1, qword ptr [eax+32]
        pminub  mm0, qword ptr [eax+40]
        pmaxub  mm1, qword ptr [eax+40]
        pminub  mm0, qword ptr [eax+48]
        pmaxub  mm1, qword ptr [eax+48]
        pminub  mm0, qword ptr [eax+56]
        pmaxub  mm1, qword ptr [eax+56]
        pshufw  mm6, mm0, R_SHUFFLE_D( 2, 3, 2, 3 )
        pshufw  mm7, mm1, R_SHUFFLE_D( 2, 3, 2, 3 )
        pminub  mm0, mm6
        pmaxub  mm1, mm7
        movd   dword ptr [esi], mm0
        movd   dword ptr [edi], mm1
        emms
    }
}

void InsetNormalsBBoxDXT5_MMX( byte *minNormal, byte *maxNormal ) {

```

```

__asm {
    mov     esi, minNormal
    mov     edi, maxNormal
    movd   mm0, dword ptr [esi]
    movd   mm1, dword ptr [edi]
    punpcklbw mm0, SIMD_MMX_byte_0
    punpcklbw mm1, SIMD_MMX_byte_0
    movq   mm2, mm1
    psubw  mm2, mm0
    psubw  mm2, SIMD_MMX_word_insetNormalDXT5Round
    pand   mm2, SIMD_MMX_word_insetNormalDXT5Mask
    pmullw mm0, SIMD_MMX_word_insetNormalDXT5ShiftUp
    pmullw mm1, SIMD_MMX_word_insetNormalDXT5ShiftUp
    paddw  mm0, mm2
    psubw  mm1, mm2
    pmulhw mm0, SIMD_MMX_word_insetNormalDXT5ShiftDown
    pmulhw mm1, SIMD_MMX_word_insetNormalDXT5ShiftDown
    pmaxsw mm0, SIMD_MMX_word_0
    pmaxsw mm1, SIMD_MMX_word_0
    pand   mm0, SIMD_MMX_word_insetNormalDXT5QuantMask
    pand   mm1, SIMD_MMX_word_insetNormalDXT5QuantMask
    movq   mm2, mm0
    movq   mm3, mm1
    pmulhw mm2, SIMD_MMX_word_insetNormalDXT5Rep
    pmulhw mm3, SIMD_MMX_word_insetNormalDXT5Rep
    por    mm0, mm2
    por    mm1, mm3
    packuswb mm0, mm0
    packuswb mm1, mm1
    movd   dword ptr [esi], mm0
    movd   dword ptr [edi], mm1
    emms
}
}

void InsetNormalsBBox3Dc_MMX( byte *minNormal, byte *maxNormal ) {
    __asm {
        mov     esi, minNormal
        mov     edi, maxNormal
        movd   mm0, dword ptr [esi]
        movd   mm1, dword ptr [edi]
        punpcklbw mm0, SIMD_MMX_byte_0
        punpcklbw mm1, SIMD_MMX_byte_0
        movq   mm2, mm1
        psubw  mm2, mm0
        psubw  mm2, SIMD_MMX_word_insetNormal3DcRound
        pand   mm2, SIMD_MMX_word_insetNormal3DcMask
        pmullw mm0, SIMD_MMX_word_insetNormal3DcShiftUp
        pmullw mm1, SIMD_MMX_word_insetNormal3DcShiftUp
        paddw  mm0, mm2
        psubw  mm1, mm2
        pmulhw mm0, SIMD_MMX_word_insetNormal3DcShiftDown
        pmulhw mm1, SIMD_MMX_word_insetNormal3DcShiftDown
        pmaxsw mm0, SIMD_MMX_word_0
        pmaxsw mm1, SIMD_MMX_word_0
        packuswb mm0, mm0
    }
}

```

```

    packuswb    mm1, mm1
    movd        dword ptr [esi], mm0
    movd        dword ptr [edi], mm1
    emms
}
}

void EmitAlphaIndices_MMX( const byte *block, const int channelBitOffset,
const int minAlpha, const int maxAlpha ) {
    ALIGN16( byte alphaBlock[16] );
    ALIGN16( byte ab1[8] );
    ALIGN16( byte ab2[8] );
    ALIGN16( byte ab3[8] );
    ALIGN16( byte ab4[8] );
    ALIGN16( byte ab5[8] );
    ALIGN16( byte ab6[8] );
    ALIGN16( byte ab7[8] );

    __asm {
        movd        mm7, channelBitOffset

        mov        esi, block
        movq        mm0, qword ptr [esi+ 0]
        movq        mm5, qword ptr [esi+ 8]
        movq        mm6, qword ptr [esi+16]
        movq        mm4, qword ptr [esi+24]

        psrld       mm0, mm7
        psrld       mm5, mm7
        psrld       mm6, mm7
        psrld       mm4, mm7

        pand        mm0, SIMD_MMX_dword_byte_mask
        pand        mm5, SIMD_MMX_dword_byte_mask
        pand        mm6, SIMD_MMX_dword_byte_mask
        pand        mm4, SIMD_MMX_dword_byte_mask

        packuswb    mm0, mm5
        packuswb    mm6, mm4

        packuswb    mm0, mm6
        movq        alphaBlock+0, mm0

        movq        mm0, qword ptr [esi+32]
        movq        mm5, qword ptr [esi+40]
        movq        mm6, qword ptr [esi+48]
        movq        mm4, qword ptr [esi+56]

        psrld       mm0, mm7
        psrld       mm5, mm7
        psrld       mm6, mm7
        psrld       mm4, mm7

        pand        mm0, SIMD_MMX_dword_byte_mask
        pand        mm5, SIMD_MMX_dword_byte_mask
        pand        mm6, SIMD_MMX_dword_byte_mask

```

```

pand                mm4, SIMD_MMX_dword_byte_mask

packuswb           mm0, mm5
packuswb           mm6, mm4

packuswb           mm0, mm6
movq               alphaBlock+8, mm0

movd               mm0, maxAlpha
pshufw            mm0, mm0, R_SHUFFLE_D( 0, 0, 0, 0 )
movq               mm1, mm0

movd               mm2, minAlpha
pshufw            mm2, mm2, R_SHUFFLE_D( 0, 0, 0, 0 )
movq               mm3, mm2

movq               mm4, mm0
psubw             mm4, mm2
pmulhw           mm4, SIMD_MMX_word_div_by_14

movq               mm5, mm0
psubw             mm5, mm4
packuswb           mm5, mm5
movq               ab1, mm5

pmullw            mm0, SIMD_MMX_word_scale654
pmullw            mm1, SIMD_MMX_word_scale123
pmullw            mm2, SIMD_MMX_word_scale123
pmullw            mm3, SIMD_MMX_word_scale654
paddw             mm0, mm2
paddw             mm1, mm3
pmulhw           mm0, SIMD_MMX_word_div_by_7
pmulhw           mm1, SIMD_MMX_word_div_by_7
psubw             mm0, mm4
psubw             mm1, mm4

pshufw            mm2, mm0, R_SHUFFLE_D( 0, 0, 0, 0 )
pshufw            mm3, mm0, R_SHUFFLE_D( 1, 1, 1, 1 )
pshufw            mm4, mm0, R_SHUFFLE_D( 2, 2, 2, 2 )
packuswb           mm2, mm2
packuswb           mm3, mm3
packuswb           mm4, mm4
movq               ab2, mm2
movq               ab3, mm3
movq               ab4, mm4

pshufw            mm2, mm1, R_SHUFFLE_D( 2, 2, 2, 2 )
pshufw            mm3, mm1, R_SHUFFLE_D( 1, 1, 1, 1 )
pshufw            mm4, mm1, R_SHUFFLE_D( 0, 0, 0, 0 )
packuswb           mm2, mm2
packuswb           mm3, mm3
packuswb           mm4, mm4
movq               ab5, mm2
movq               ab6, mm3
movq               ab7, mm4

```

```

pshufw mm0, alphaBlock+0, R_SHUFFLE_D( 0, 1, 2, 3 )
pshufw mm1, mm0, R_SHUFFLE_D( 0, 1, 2, 3 )
pshufw mm2, mm0, R_SHUFFLE_D( 0, 1, 2, 3 )
pshufw mm3, mm0, R_SHUFFLE_D( 0, 1, 2, 3 )
pshufw mm4, mm0, R_SHUFFLE_D( 0, 1, 2, 3 )
pshufw mm5, mm0, R_SHUFFLE_D( 0, 1, 2, 3 )
pshufw mm6, mm0, R_SHUFFLE_D( 0, 1, 2, 3 )
pshufw mm7, mm0, R_SHUFFLE_D( 0, 1, 2, 3 )
pmaxub mm1, ab1
pmaxub mm2, ab2
pmaxub mm3, ab3
pmaxub mm4, ab4
pmaxub mm5, ab5
pmaxub mm6, ab6
pmaxub mm7, ab7
pcmpeqb mm1, mm0
pcmpeqb mm2, mm0
pcmpeqb mm3, mm0
pcmpeqb mm4, mm0
pcmpeqb mm5, mm0
pcmpeqb mm6, mm0
pcmpeqb mm7, mm0
pshufw mm0, SIMD_MMX_byte_8, R_SHUFFLE_D( 0, 1, 2, 3 )
paddsb mm0, mm1
paddsb mm2, mm3
paddsb mm4, mm5
paddsb mm6, mm7
paddsb mm0, mm2
paddsb mm4, mm6
paddsb mm0, mm4
pand mm0, SIMD_MMX_byte_7
pshufw mm1, SIMD_MMX_byte_2, R_SHUFFLE_D( 0, 1, 2, 3 )
pcmpgtb mm1, mm0
pand mm1, SIMD_MMX_byte_1
pxor mm0, mm1
pshufw mm1, mm0, R_SHUFFLE_D( 0, 1, 2, 3 )
pshufw mm2, mm0, R_SHUFFLE_D( 0, 1, 2, 3 )
pshufw mm3, mm0, R_SHUFFLE_D( 0, 1, 2, 3 )
pshufw mm4, mm0, R_SHUFFLE_D( 0, 1, 2, 3 )
pshufw mm5, mm0, R_SHUFFLE_D( 0, 1, 2, 3 )
pshufw mm6, mm0, R_SHUFFLE_D( 0, 1, 2, 3 )
pshufw mm7, mm0, R_SHUFFLE_D( 0, 1, 2, 3 )
psrlq mm1, 8- 3
psrlq mm2, 16- 6
psrlq mm3, 24- 9
psrlq mm4, 32-12
psrlq mm5, 40-15
psrlq mm6, 48-18
psrlq mm7, 56-21
pand mm0, SIMD_MMX_dword_alpha_bit_mask0
pand mm1, SIMD_MMX_dword_alpha_bit_mask1
pand mm2, SIMD_MMX_dword_alpha_bit_mask2
pand mm3, SIMD_MMX_dword_alpha_bit_mask3
pand mm4, SIMD_MMX_dword_alpha_bit_mask4
pand mm5, SIMD_MMX_dword_alpha_bit_mask5
pand mm6, SIMD_MMX_dword_alpha_bit_mask6

```

```

pand      mm7, SIMD_MMX_dword_alpha_bit_mask7
por       mm0, mm1
por       mm2, mm3
por       mm4, mm5
por       mm6, mm7
por       mm0, mm2
por       mm4, mm6
por       mm0, mm4
mov       esi, globalOutData
movd     [esi+0], mm0

pshufw   mm0, alphaBlock+8, R_SHUFFLE_D( 0, 1, 2, 3 )
pshufw   mm1, mm0, R_SHUFFLE_D( 0, 1, 2, 3 )
pshufw   mm2, mm0, R_SHUFFLE_D( 0, 1, 2, 3 )
pshufw   mm3, mm0, R_SHUFFLE_D( 0, 1, 2, 3 )
pshufw   mm4, mm0, R_SHUFFLE_D( 0, 1, 2, 3 )
pshufw   mm5, mm0, R_SHUFFLE_D( 0, 1, 2, 3 )
pshufw   mm6, mm0, R_SHUFFLE_D( 0, 1, 2, 3 )
pshufw   mm7, mm0, R_SHUFFLE_D( 0, 1, 2, 3 )
pmaxub   mm1, ab1
pmaxub   mm2, ab2
pmaxub   mm3, ab3
pmaxub   mm4, ab4
pmaxub   mm5, ab5
pmaxub   mm6, ab6
pmaxub   mm7, ab7
pcmpeqb  mm1, mm0
pcmpeqb  mm2, mm0
pcmpeqb  mm3, mm0
pcmpeqb  mm4, mm0
pcmpeqb  mm5, mm0
pcmpeqb  mm6, mm0
pcmpeqb  mm7, mm0
pshufw   mm0, SIMD_MMX_byte_8, R_SHUFFLE_D( 0, 1, 2, 3 )
paddsb   mm0, mm1
paddsb   mm2, mm3
paddsb   mm4, mm5
paddsb   mm6, mm7
paddsb   mm0, mm2
paddsb   mm4, mm6
paddsb   mm0, mm4
pand     mm0, SIMD_MMX_byte_7
pshufw   mm1, SIMD_MMX_byte_2, R_SHUFFLE_D( 0, 1, 2, 3 )
pcmpgtb  mm1, mm0
pand     mm1, SIMD_MMX_byte_1
pxor     mm0, mm1
pshufw   mm1, mm0, R_SHUFFLE_D( 0, 1, 2, 3 )
pshufw   mm2, mm0, R_SHUFFLE_D( 0, 1, 2, 3 )
pshufw   mm3, mm0, R_SHUFFLE_D( 0, 1, 2, 3 )
pshufw   mm4, mm0, R_SHUFFLE_D( 0, 1, 2, 3 )
pshufw   mm5, mm0, R_SHUFFLE_D( 0, 1, 2, 3 )
pshufw   mm6, mm0, R_SHUFFLE_D( 0, 1, 2, 3 )
pshufw   mm7, mm0, R_SHUFFLE_D( 0, 1, 2, 3 )
psrlq    mm1, 8- 3
psrlq    mm2, 16- 6
psrlq    mm3, 24- 9

```

```

    psrlq    mm4, 32-12
    psrlq    mm5, 40-15
    psrlq    mm6, 48-18
    psrlq    mm7, 56-21
    pand     mm0, SIMD_MMX_dword_alpha_bit_mask0
    pand     mm1, SIMD_MMX_dword_alpha_bit_mask1
    pand     mm2, SIMD_MMX_dword_alpha_bit_mask2
    pand     mm3, SIMD_MMX_dword_alpha_bit_mask3
    pand     mm4, SIMD_MMX_dword_alpha_bit_mask4
    pand     mm5, SIMD_MMX_dword_alpha_bit_mask5
    pand     mm6, SIMD_MMX_dword_alpha_bit_mask6
    pand     mm7, SIMD_MMX_dword_alpha_bit_mask7
    por      mm0, mm1
    por      mm2, mm3
    por      mm4, mm5
    por      mm6, mm7
    por      mm0, mm2
    por      mm4, mm6
    por      mm0, mm4
    movd     dword ptr [esi+3], mm0

    emms
}

globalOutData += 6;
}

void EmitGreenIndices_MMX( const byte *block, const int channelBitOffset,
const int minGreen, const int maxGreen ) {
    ALIGN16( byte greenBlock[16] );

    __asm {
        movd     mm7, channelBitOffset

        mov     esi, block
        movq    mm0, qword ptr [esi+ 0]
        movq    mm5, qword ptr [esi+ 8]
        movq    mm6, qword ptr [esi+16]
        movq    mm4, qword ptr [esi+24]

        psrld   mm0, mm7
        psrld   mm5, mm7
        psrld   mm6, mm7
        psrld   mm4, mm7

        pand    mm0, SIMD_MMX_dword_byte_mask
        pand    mm5, SIMD_MMX_dword_byte_mask
        pand    mm6, SIMD_MMX_dword_byte_mask
        pand    mm4, SIMD_MMX_dword_byte_mask

        packuswb mm0, mm5
        packuswb mm6, mm4

        packuswb mm0, mm6
        movq     greenBlock+0, mm0
    }
}

```

```

movq    mm0, qword ptr [esi+32]
movq    mm5, qword ptr [esi+40]
movq    mm6, qword ptr [esi+48]
movq    mm4, qword ptr [esi+56]

psrld   mm0, mm7
psrld   mm5, mm7
psrld   mm6, mm7
psrld   mm4, mm7

pand    mm0, SIMD_MMX_dword_byte_mask
pand    mm5, SIMD_MMX_dword_byte_mask
pand    mm6, SIMD_MMX_dword_byte_mask
pand    mm4, SIMD_MMX_dword_byte_mask

packuswb mm0, mm5
packuswb mm6, mm4

packuswb mm0, mm6
movq     greenBlock+8, mm0

movd    mm2, maxGreen
pshufw  mm2, mm2, R_SHUFFLE_D( 0, 0, 0, 0 )
movq    mm1, mm2

movd    mm3, minGreen
pshufw  mm3, mm3, R_SHUFFLE_D( 0, 0, 0, 0 )

movq    mm4, mm2
psubw  mm4, mm3
pmulhw mm4, SIMD_MMX_word_div_by_6

psllw   mm2, 1
paddw   mm2, mm3
pmulhw  mm2, SIMD_MMX_word_div_by_3
psubw   mm2, mm4
packuswb mm2, mm2 // gb2

psllw   mm3, 1
paddw   mm3, mm1
pmulhw  mm3, SIMD_MMX_word_div_by_3
psubw   mm3, mm4
packuswb mm3, mm3 // gb3

psubw   mm1, mm4
packuswb mm1, mm1 // gb1

pshufw  mm0, greenBlock+0, R_SHUFFLE_D( 0, 1, 2, 3 )
pshufw  mm5, mm0, R_SHUFFLE_D( 0, 1, 2, 3 )
pshufw  mm6, mm0, R_SHUFFLE_D( 0, 1, 2, 3 )
pshufw  mm7, mm0, R_SHUFFLE_D( 0, 1, 2, 3 )
pmaxub  mm5, mm1
pmaxub  mm6, mm2
pmaxub  mm7, mm3
pcmpeqb mm5, mm0
pcmpeqb mm6, mm0

```

```

pcmpeqb    mm7, mm0
pshufw    mm0, SIMD_MMX_byte_4, R_SHUFFLE_D( 0, 1, 2, 3 )
paddsb    mm0, mm5
paddsb    mm6, mm7
paddsb    mm0, mm6
pand      mm0, SIMD_MMX_byte_3
pshufw    mm4, SIMD_MMX_byte_2, R_SHUFFLE_D( 0, 1, 2, 3 )
pcmpgtb   mm4, mm0
pand      mm4, SIMD_MMX_byte_1
pxor      mm0, mm4
pshufw    mm4, mm0, R_SHUFFLE_D( 0, 1, 2, 3 )
pshufw    mm5, mm0, R_SHUFFLE_D( 0, 1, 2, 3 )
pshufw    mm6, mm0, R_SHUFFLE_D( 0, 1, 2, 3 )
pshufw    mm7, mm0, R_SHUFFLE_D( 0, 1, 2, 3 )
psrlq     mm4, 8- 2
psrlq     mm5, 16- 4
psrlq     mm6, 24- 6
psrlq     mm7, 32- 8
pand      mm4, SIMD_MMX_dword_color_bit_mask1
pand      mm5, SIMD_MMX_dword_color_bit_mask2
pand      mm6, SIMD_MMX_dword_color_bit_mask3
pand      mm7, SIMD_MMX_dword_color_bit_mask4
por       mm5, mm4
por       mm7, mm6
por       mm7, mm5
pshufw    mm4, mm0, R_SHUFFLE_D( 0, 1, 2, 3 )
pshufw    mm5, mm0, R_SHUFFLE_D( 0, 1, 2, 3 )
pshufw    mm6, mm0, R_SHUFFLE_D( 0, 1, 2, 3 )
psrlq     mm4, 40-10
psrlq     mm5, 48-12
psrlq     mm6, 56-14
pand      mm0, SIMD_MMX_dword_color_bit_mask0
pand      mm4, SIMD_MMX_dword_color_bit_mask5
pand      mm5, SIMD_MMX_dword_color_bit_mask6
pand      mm6, SIMD_MMX_dword_color_bit_mask7
por       mm4, mm5
por       mm0, mm6
por       mm7, mm4
por       mm7, mm0
mov       esi, gobalOutPtr
movd      [esi+0], mm7

pshufw    mm0, greenBlock+8, R_SHUFFLE_D( 0, 1, 2, 3 )
pshufw    mm5, mm0, R_SHUFFLE_D( 0, 1, 2, 3 )
pshufw    mm6, mm0, R_SHUFFLE_D( 0, 1, 2, 3 )
pshufw    mm7, mm0, R_SHUFFLE_D( 0, 1, 2, 3 )
pmaxub    mm5, mm1
pmaxub    mm6, mm2
pmaxub    mm7, mm3
pcmpeqb   mm5, mm0
pcmpeqb   mm6, mm0
pcmpeqb   mm7, mm0
pshufw    mm0, SIMD_MMX_byte_4, R_SHUFFLE_D( 0, 1, 2, 3 )
paddsb    mm0, mm5
paddsb    mm6, mm7
paddsb    mm0, mm6

```

```

    pand          mm0, SIMD_MMX_byte_3
    pshufw        mm4, SIMD_MMX_byte_2, R_SHUFFLE_D( 0, 1, 2, 3 )
    pcmpgtb      mm4, mm0
    pand          mm4, SIMD_MMX_byte_1
    pxor          mm0, mm4
    pshufw        mm4, mm0, R_SHUFFLE_D( 0, 1, 2, 3 )
    pshufw        mm5, mm0, R_SHUFFLE_D( 0, 1, 2, 3 )
    pshufw        mm6, mm0, R_SHUFFLE_D( 0, 1, 2, 3 )
    pshufw        mm7, mm0, R_SHUFFLE_D( 0, 1, 2, 3 )
    psrlq         mm4, 8- 2
    psrlq         mm5, 16- 4
    psrlq         mm6, 24- 6
    psrlq         mm7, 32- 8
    pand          mm4, SIMD_MMX_dword_color_bit_mask1
    pand          mm5, SIMD_MMX_dword_color_bit_mask2
    pand          mm6, SIMD_MMX_dword_color_bit_mask3
    pand          mm7, SIMD_MMX_dword_color_bit_mask4
    por           mm5, mm4
    por           mm7, mm6
    por           mm7, mm5
    pshufw        mm4, mm0, R_SHUFFLE_D( 0, 1, 2, 3 )
    pshufw        mm5, mm0, R_SHUFFLE_D( 0, 1, 2, 3 )
    pshufw        mm6, mm0, R_SHUFFLE_D( 0, 1, 2, 3 )
    psrlq         mm4, 40-10
    psrlq         mm5, 48-12
    psrlq         mm6, 56-14
    pand          mm0, SIMD_MMX_dword_color_bit_mask0
    pand          mm4, SIMD_MMX_dword_color_bit_mask5
    pand          mm5, SIMD_MMX_dword_color_bit_mask6
    pand          mm6, SIMD_MMX_dword_color_bit_mask7
    por           mm4, mm5
    por           mm0, mm6
    por           mm7, mm4
    por           mm7, mm0
    movd          [esi+2], mm7
    emms
}

globalOutData += 4;
}

void CompressNormalMapDXT5_MMX( const byte *inBuf, byte *outBuf, int width,
int height, int &outputBytes ) {
    ALIGN16( byte block[64] );
    ALIGN16( byte normalMin[4] );
    ALIGN16( byte normalMax[4] );

    globalOutData = outBuf;

    for ( int j = 0; j < height; j += 4, inBuf += width * 4*4 ) {
        for ( int i = 0; i < width; i += 4 ) {

            ExtractBlock_MMX( inBuf + i * 4, width, block );

            GetMinMaxNormalsBBox_MMX( block, normalMin, normalMax );
            InsetNormalsBBoxDXT5_MMX( normalMin, normalMax );

```

```

        // Write out Nx into alpha channel.
        EmitByte( normalMax[0] );
        EmitByte( normalMin[0] );
        EmitAlphaIndices_MMX( block, 0*8, normalMin[0], normalMax[0] );

        // Write out Ny into green channel.
        EmitUShort( NormalYTo565( normalMax[1] ) );
        EmitUShort( NormalYTo565( normalMin[1] ) );
        EmitGreenIndices_MMX( block, 1*8, normalMin[1], normalMax[1] );
    }
}

outputBytes = outData - outBuf;
}

void CompressNormalMap3Dc_MMX( const byte *inBuf, byte *outBuf, int width,
int height, int &outputBytes ) {
    ALIGN16( byte block[64] );
    ALIGN16( byte normalMin[4] );
    ALIGN16( byte normalMax[4] );

    globalOutData = outBuf;

    for ( int j = 0; j < height; j += 4, inBuf += width * 4*4 ) {
        for ( int i = 0; i < width; i += 4 ) {

            ExtractBlock_MMX( inBuf + i * 4, width, block );

            GetMinMaxNormalsBBox_MMX( block, normalMin, normalMax );
            InsetNormalsBBox3Dc_MMX( normalMin, normalMax );

            // Write out Nx as an alpha channel.
            EmitByte( normalMax[0] );
            EmitByte( normalMin[0] );
            EmitAlphaIndices_MMX( block, 0*8, normalMin[0], normalMax[0] );

            // Write out Ny as an alpha channel.
            EmitByte( normalMax[1] );
            EmitByte( normalMin[1] );
            EmitAlphaIndices_MMX( block, 1*8, normalMin[1], normalMax[1] );
        }
    }

    outputBytes = outData - outBuf;
}

```



```

    shl     eax, 2
    movdqa xmm0, [esi]
    movdqa xmmword ptr [edi+ 0], xmm0
    movdqa xmm1, xmmword ptr [esi+eax]
    movdqa xmmword ptr [edi+16], xmm1
    movdqa xmm2, xmmword ptr [esi+eax*2]
    add    esi, eax
    movdqa xmmword ptr [edi+32], xmm2
    movdqa xmm3, xmmword ptr [esi+eax*2]
    movdqa xmmword ptr [edi+48], xmm3
}
}

void GetMinMaxNormalsBBox_SSE2( const byte *block, byte *minNormal, byte
*maxNormal ) {
    __asm {
        mov     eax, block
        mov     esi, minNormal
        mov     edi, maxNormal
        movdqa xmm0, xmmword ptr [eax+ 0]
        movdqa xmm1, xmmword ptr [eax+ 0]
        pminub xmm0, xmmword ptr [eax+16]
        pmaxub xmm1, xmmword ptr [eax+16]
        pminub xmm0, xmmword ptr [eax+32]
        pmaxub xmm1, xmmword ptr [eax+32]
        pminub xmm0, xmmword ptr [eax+48]
        pmaxub xmm1, xmmword ptr [eax+48]
        pshufd xmm3, xmm0, R_SHUFFLE_D( 2, 3, 2, 3 )
        pshufd xmm4, xmm1, R_SHUFFLE_D( 2, 3, 2, 3 )
        pminub xmm0, xmm3
        pmaxub xmm1, xmm4
        pshufw xmm6, xmm0, R_SHUFFLE_D( 2, 3, 2, 3 )
        pshufw xmm7, xmm1, R_SHUFFLE_D( 2, 3, 2, 3 )
        pminub xmm0, xmm6
        pmaxub xmm1, xmm7
        movd   dword ptr [esi], xmm0
        movd   dword ptr [edi], xmm1
    }
}

void InsetNormalsBBoxDXT5_SSE2( byte *minNormal, byte *maxNormal ) {
    __asm {
        mov     esi, minNormal
        mov     edi, maxNormal
        movd   xmm0, dword ptr [esi]
        movd   xmm1, dword ptr [edi]
        punpcklbw xmm0, SIMD_SSE2_byte_0
        punpcklbw xmm1, SIMD_SSE2_byte_0
        movdqa xmm2, xmm1
        psubw  xmm2, xmm0
        psubw  xmm2, SIMD_SSE2_word_insetNormalDXT5Round
        pand   xmm2, SIMD_SSE2_word_insetNormalDXT5Mask
        pmullw xmm0, SIMD_SSE2_word_insetNormalDXT5ShiftUp
        pmullw xmm1, SIMD_SSE2_word_insetNormalDXT5ShiftUp
        paddw  xmm0, xmm2
        psubw  xmm1, xmm2
    }
}

```

```

    pmulhw    xmm0, SIMD_SSE2_word_insetNormalDXT5ShiftDown
    pmulhw    xmm1, SIMD_SSE2_word_insetNormalDXT5ShiftDown
    pmaxsw    xmm0, SIMD_SSE2_word_0
    pmaxsw    xmm1, SIMD_SSE2_word_0
    pand      xmm0, SIMD_SSE2_word_insetNormalDXT5QuantMask
    pand      xmm1, SIMD_SSE2_word_insetNormalDXT5QuantMask
    movdqa    xmm2, xmm0
    movdqa    xmm3, xmm1
    pmulhw    xmm2, SIMD_SSE2_word_insetNormalDXT5Rep
    pmulhw    xmm3, SIMD_SSE2_word_insetNormalDXT5Rep
    por       xmm0, xmm2
    por       xmm1, xmm3
    packuswb  xmm0, xmm0
    packuswb  xmm1, xmm1
    movd      dword ptr [esi], xmm0
    movd      dword ptr [edi], xmm1
}
}

void InsetNormalsBBox3Dc_SSE2( byte *minNormal, byte *maxNormal ) {
    __asm {
        mov     esi, minNormal
        mov     edi, maxNormal
        movd    xmm0, dword ptr [esi]
        movd    xmm1, dword ptr [edi]
        punpcklbw  xmm0, SIMD_SSE2_byte_0
        punpcklbw  xmm1, SIMD_SSE2_byte_0
        movdqa    xmm2, xmm1
        psubw    xmm2, xmm0
        psubw    xmm2, SIMD_SSE2_word_insetNormal3DcRound
        pand     xmm2, SIMD_SSE2_word_insetNormal3DcMask
        pmullw   xmm0, SIMD_SSE2_word_insetNormal3DcShiftUp
        pmullw   xmm1, SIMD_SSE2_word_insetNormal3DcShiftUp
        paddw    xmm0, xmm2
        psubw    xmm1, xmm2
        pmulhw   xmm0, SIMD_SSE2_word_insetNormal3DcShiftDown
        pmulhw   xmm1, SIMD_SSE2_word_insetNormal3DcShiftDown
        pmaxsw   xmm0, SIMD_SSE2_word_0
        pmaxsw   xmm1, SIMD_SSE2_word_0
        packuswb  xmm0, xmm0
        packuswb  xmm1, xmm1
        movd     dword ptr [esi], xmm0
        movd     dword ptr [edi], xmm1
    }
}

void EmitAlphaIndices_SSE2( const byte *block, const int channelBitOffset,
const int minAlpha, const int maxAlpha ) {
    __asm {
        movd    xmm7, channelBitOffset

        mov     esi, block
        movdqa  xmm0, xmmword ptr [esi+ 0]
        movdqa  xmm5, xmmword ptr [esi+16]
        movdqa  xmm6, xmmword ptr [esi+32]
        movdqa  xmm4, xmmword ptr [esi+48]
    }
}

```

```

psrld    xmm0, xmm7
psrld    xmm5, xmm7
psrld    xmm6, xmm7
psrld    xmm4, xmm7

pand     xmm0, SIMD_SSE2_dword_byte_mask
pand     xmm5, SIMD_SSE2_dword_byte_mask
pand     xmm6, SIMD_SSE2_dword_byte_mask
pand     xmm4, SIMD_SSE2_dword_byte_mask

packuswb xmm0, xmm5
packuswb xmm6, xmm4

movd     xmm5, maxAlpha
pshufw   xmm5, xmm5, R_SHUFFLE_D( 0, 0, 0, 0 )
pshufd   xmm5, xmm5, R_SHUFFLE_D( 0, 0, 0, 0 )
movdqa   xmm7, xmm5

movd     xmm2, minAlpha
pshufw   xmm2, xmm2, R_SHUFFLE_D( 0, 0, 0, 0 )
pshufd   xmm2, xmm2, R_SHUFFLE_D( 0, 0, 0, 0 )
movdqa   xmm3, xmm2

movdqa   xmm4, xmm5
psubw    xmm4, xmm2
pmulhw   xmm4, SIMD_SSE2_word_div_by_14
movdqa   xmm1, xmm5
psubw    xmm1, xmm4
packuswb xmm1, xmm1                                // ab1

pmullw   xmm5, SIMD_SSE2_word_scale66554400
pmullw   xmm7, SIMD_SSE2_word_scale11223300
pmullw   xmm2, SIMD_SSE2_word_scale11223300
pmullw   xmm3, SIMD_SSE2_word_scale66554400
paddw    xmm5, xmm2
paddw    xmm7, xmm3
pmulhw   xmm5, SIMD_SSE2_word_div_by_7
pmulhw   xmm7, SIMD_SSE2_word_div_by_7
psubw    xmm5, xmm4
psubw    xmm7, xmm4

pshufd   xmm2, xmm5, R_SHUFFLE_D( 0, 0, 0, 0 )
pshufd   xmm3, xmm5, R_SHUFFLE_D( 1, 1, 1, 1 )
pshufd   xmm4, xmm5, R_SHUFFLE_D( 2, 2, 2, 2 )
packuswb xmm2, xmm2                                // ab2
packuswb xmm3, xmm3                                // ab3
packuswb xmm4, xmm4                                // ab4

packuswb xmm0, xmm6

pshufd   xmm5, xmm7, R_SHUFFLE_D( 2, 2, 2, 2 )
pshufd   xmm6, xmm7, R_SHUFFLE_D( 1, 1, 1, 1 )
pshufd   xmm7, xmm7, R_SHUFFLE_D( 0, 0, 0, 0 )
packuswb xmm5, xmm5                                // ab5
packuswb xmm6, xmm6                                // ab6

```

```

packuswb      xmm7, xmm7                                // ab7

pmaxub        xmm1, xmm0
pmaxub        xmm2, xmm0
pmaxub        xmm3, xmm0
pcmpeqb       xmm1, xmm0
pcmpeqb       xmm2, xmm0
pcmpeqb       xmm3, xmm0
pmaxub        xmm4, xmm0
pmaxub        xmm5, xmm0
pmaxub        xmm6, xmm0
pmaxub        xmm7, xmm0
pcmpeqb       xmm4, xmm0
pcmpeqb       xmm5, xmm0
pcmpeqb       xmm6, xmm0
pcmpeqb       xmm7, xmm0
movdqa        xmm0, SIMD_SSE2_byte_8
paddsb        xmm0, xmm1
paddsb        xmm2, xmm3
paddsb        xmm4, xmm5
paddsb        xmm6, xmm7
paddsb        xmm0, xmm2
paddsb        xmm4, xmm6
paddsb        xmm0, xmm4
pand          xmm0, SIMD_SSE2_byte_7
movdqa        xmm1, SIMD_SSE2_byte_2
pcmpgtb       xmm1, xmm0
pand          xmm1, SIMD_SSE2_byte_1
pxor          xmm0, xmm1
movdqa        xmm1, xmm0
movdqa        xmm2, xmm0
movdqa        xmm3, xmm0
movdqa        xmm4, xmm0
movdqa        xmm5, xmm0
movdqa        xmm6, xmm0
movdqa        xmm7, xmm0
psrlq         xmm1, 8- 3
psrlq         xmm2, 16- 6
psrlq         xmm3, 24- 9
psrlq         xmm4, 32-12
psrlq         xmm5, 40-15
psrlq         xmm6, 48-18
psrlq         xmm7, 56-21
pand          xmm0, SIMD_SSE2_dword_alpha_bit_mask0
pand          xmm1, SIMD_SSE2_dword_alpha_bit_mask1
pand          xmm2, SIMD_SSE2_dword_alpha_bit_mask2
pand          xmm3, SIMD_SSE2_dword_alpha_bit_mask3
pand          xmm4, SIMD_SSE2_dword_alpha_bit_mask4
pand          xmm5, SIMD_SSE2_dword_alpha_bit_mask5
pand          xmm6, SIMD_SSE2_dword_alpha_bit_mask6
pand          xmm7, SIMD_SSE2_dword_alpha_bit_mask7
por           xmm0, xmm1
por           xmm2, xmm3
por           xmm4, xmm5
por           xmm6, xmm7
por           xmm0, xmm2

```

```

    por        xmm4, xmm6
    por        xmm0, xmm4
    mov        esi, globalOutData
    movd       [esi+0], xmm0
    pshufd     xmm1, xmm0, R_SHUFFLE_D( 2, 3, 0, 1 )
    movd       [esi+3], xmm1
}

globalOutData += 6;
}

void EmitGreenIndices_SSE2( const byte *block, const int channelBitOffset,
const int minGreen, const int maxGreen ) {
    __asm {
        movd    xmm7, channelBitOffset

        mov     esi, block
        movdqa  xmm0, xmmword ptr [esi+ 0]
        movdqa  xmm5, xmmword ptr [esi+16]
        movdqa  xmm6, xmmword ptr [esi+32]
        movdqa  xmm4, xmmword ptr [esi+48]

        psrld   xmm0, xmm7
        psrld   xmm5, xmm7
        psrld   xmm6, xmm7
        psrld   xmm4, xmm7

        pand    xmm0, SIMD_SSE2_dword_byte_mask
        pand    xmm5, SIMD_SSE2_dword_byte_mask
        pand    xmm6, SIMD_SSE2_dword_byte_mask
        pand    xmm4, SIMD_SSE2_dword_byte_mask

        packuswb  xmm0, xmm5
        packuswb  xmm6, xmm4

        movd     xmm2, maxGreen
        pshufw   xmm2, xmm2, R_SHUFFLE_D( 0, 0, 0, 0 )
        pshufd   xmm2, xmm2, R_SHUFFLE_D( 0, 0, 0, 0 )
        movdqa   xmm1, xmm2

        movd     xmm3, minGreen
        pshufw   xmm3, xmm3, R_SHUFFLE_D( 0, 0, 0, 0 )
        pshufd   xmm3, xmm3, R_SHUFFLE_D( 0, 0, 0, 0 )

        movdqa   xmm4, xmm2
        psubw    xmm4, xmm3
        pmulhw   xmm4, SIMD_SSE2_word_div_by_6

        psllw    xmm2, 1
        paddw    xmm2, xmm3
        pmulhw   xmm2, SIMD_SSE2_word_div_by_3
        psubw    xmm2, xmm4
        packuswb  xmm2, xmm2                // gb2

        psllw    xmm3, 1
        paddw    xmm3, xmm1

```

```

pmulhw    xmm3, SIMD_SSE2_word_div_by_3
psubw    xmm3, xmm4
packuswb  xmm3, xmm3                                // gb3

psubw    xmm1, xmm4
packuswb  xmm1, xmm1                                // gb1

packuswb  xmm0, xmm6

pmaxub   xmm1, xmm0
pmaxub   xmm2, xmm0
pmaxub   xmm3, xmm0
pcmpeqb  xmm1, xmm0
pcmpeqb  xmm2, xmm0
pcmpeqb  xmm3, xmm0
movdqa   xmm0, SIMD_SSE2_byte_4
paddsb   xmm0, xmm1
paddsb   xmm2, xmm3
paddsb   xmm0, xmm2
pand     xmm0, SIMD_SSE2_byte_3
movdqa   xmm4, SIMD_SSE2_byte_2
pcmpgtb  xmm4, xmm0
pand     xmm4, SIMD_SSE2_byte_1
pxor     xmm0, xmm4
movdqa   xmm4, xmm0
movdqa   xmm5, xmm0
movdqa   xmm6, xmm0
movdqa   xmm7, xmm0
psrlq    xmm4, 8- 2
psrlq    xmm5, 16- 4
psrlq    xmm6, 24- 6
psrlq    xmm7, 32- 8
pand     xmm4, SIMD_SSE2_dword_color_bit_mask1
pand     xmm5, SIMD_SSE2_dword_color_bit_mask2
pand     xmm6, SIMD_SSE2_dword_color_bit_mask3
pand     xmm7, SIMD_SSE2_dword_color_bit_mask4
por      xmm5, xmm4
por      xmm7, xmm6
por      xmm7, xmm5
movdqa   xmm4, xmm0
movdqa   xmm5, xmm0
movdqa   xmm6, xmm0
psrlq    xmm4, 40-10
psrlq    xmm5, 48-12
psrlq    xmm6, 56-14
pand     xmm0, SIMD_SSE2_dword_color_bit_mask0
pand     xmm4, SIMD_SSE2_dword_color_bit_mask5
pand     xmm5, SIMD_SSE2_dword_color_bit_mask6
pand     xmm6, SIMD_SSE2_dword_color_bit_mask7
por      xmm4, xmm5
por      xmm0, xmm6
por      xmm7, xmm4
por      xmm7, xmm0
mov      esi, globalOutData
movd     [esi+0], xmm7
pshufd   xmm6, xmm7, R_SHUFFLE_D( 2, 3, 0, 1 )

```

```

        movd        [esi+2], xmm6
    }

    globalOutData += 4;
}

bool CompressNormalMapDXT5_SSE2( const byte *inBuf, byte *outBuf, int width,
int height, int &outputBytes ) {
    ALIGN16( byte block[64] );
    ALIGN16( byte normalMin[4] );
    ALIGN16( byte normalMax[4] );

    globalOutData = outBuf;

    for ( int j = 0; j < height; j += 4, inBuf += width * 4*4 ) {
        for ( int i = 0; i < width; i += 4 ) {

            ExtractBlock SSE2( inBuf + i * 4, width, block );

            GetMinMaxNormalsBBox_SSE2( block, normalMin, normalMax );
            InsetNormalsBBoxDXT5_SSE2( normalMin, normalMax );

            // Write out Nx into alpha channel.
            EmitByte( normalMax[0] );
            EmitByte( normalMin[0] );
            EmitAlphaIndices_SSE2( block, 0*8, normalMin[0], normalMax[0] );

            // Write out Ny into green channel.
            EmitUShort( NormalYTo565( normalMax[1] ) );
            EmitUShort( NormalYTo565( normalMin[1] ) );
            EmitGreenIndices_SSE2( block, 1*8, normalMin[1], normalMax[1] );
        }
    }

    outputBytes = outData - outBuf;
}

void CompressNormalMap3Dc_SSE2( const byte *inBuf, byte *outBuf, int width,
int height, int &outputBytes ) {
    ALIGN16( byte block[64] );
    ALIGN16( byte normalMin[4] );
    ALIGN16( byte normalMax[4] );

    globalOutData = outBuf;

    for ( int j = 0; j < height; j += 4, inBuf += width * 4*4 ) {
        for ( int i = 0; i < width; i += 4 ) {

            ExtractBlock_SSE2( inBuf + i * 4, width, block );

            GetMinMaxNormalsBBox_SSE2( block, normalMin, normalMax );
            InsetNormalsBBox3Dc_SSE2( normalMin, normalMax );

            // Write out Nx as an alpha channel.
            EmitByte( normalMax[0] );
            EmitByte( normalMin[0] );

```

```

        EmitAlphaIndices_SSE2( block, 0*8, normalMin[0], normalMax[0] );

        // Write out Ny as an alpha channel.
        EmitByte( normalMax[1] );
        EmitByte( normalMin[1] );
        EmitAlphaIndices_SSE2( block, 1*8, normalMin[1], normalMax[1] );
    }
}

outputBytes = outData - outBuf;
}

```

Appendix D

```

/*
Real-time DXT1 & YCoCg DXT5 compression (Cg 2.0)
Copyright (c) NVIDIA Corporation.
Written by: Ignacio Castano

Thanks to JMP van Waveren, Simon Green, Eric Werness, Simon Brown

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*/

// vertex program
void compress_vp(float4 pos : POSITION,
                float2 texcoord : TEXCOORD0,
                out float4 hpos : POSITION,
                out float2 o_texcoord : TEXCOORD0
                )
{
    o_texcoord = texcoord;
    hpos = pos;
}

```

```

}

typedef unsigned int uint;
typedef unsigned int2 uint2;
typedef unsigned int4 uint4;

void ExtractColorBlockXY(out float2 col[16], sampler2D image, float2
texcoord, float2 imageSize)
{
#ifdef 0
    float2 texelSize = (1.0f / imageSize);
    texcoord -= texelSize * 2;
    for (int i = 0; i < 4; i++) {
        for (int j = 0; j < 4; j++) {
            col[i*4+j] = tex2D(image, texcoord + float2(j, i) *
texelSize).rg;
        }
    }
#else
    // use TXF instruction (integer coordinates with offset)
    // note offsets must be constant
    //int4 base = int4(wpos*4-2, 0, 0);
    int4 base = int4(texcoord * imageSize - 1.5, 0, 0);
    col[0] = tex2Dfetch(image, base, int2(0, 0)).rg;
    col[1] = tex2Dfetch(image, base, int2(1, 0)).rg;
    col[2] = tex2Dfetch(image, base, int2(2, 0)).rg;
    col[3] = tex2Dfetch(image, base, int2(3, 0)).rg;
    col[4] = tex2Dfetch(image, base, int2(0, 1)).rg;
    col[5] = tex2Dfetch(image, base, int2(1, 1)).rg;
    col[6] = tex2Dfetch(image, base, int2(2, 1)).rg;
    col[7] = tex2Dfetch(image, base, int2(3, 1)).rg;
    col[8] = tex2Dfetch(image, base, int2(0, 2)).rg;
    col[9] = tex2Dfetch(image, base, int2(1, 2)).rg;
    col[10] = tex2Dfetch(image, base, int2(2, 2)).rg;
    col[11] = tex2Dfetch(image, base, int2(3, 2)).rg;
    col[12] = tex2Dfetch(image, base, int2(0, 3)).rg;
    col[13] = tex2Dfetch(image, base, int2(1, 3)).rg;
    col[14] = tex2Dfetch(image, base, int2(2, 3)).rg;
    col[15] = tex2Dfetch(image, base, int2(3, 3)).rg;
#endif
}

// find minimum and maximum colors based on bounding box in color space
void FindMinMaxColorsBox(float2 block[16], out float2 mincol, out float2
maxcol)
{
    mincol = block[0];
    maxcol = block[0];

    for (int i = 1; i < 16; i++) {
        mincol = min(mincol, block[i]);
        maxcol = max(maxcol, block[i]);
    }
}

void InsetNormalsBBoxDXT5(in out float2 mincol, in out float2 maxcol)

```

```

{
    float2 inset;
    inset.x = (maxcol.x - mincol.x) / 32.0 - (16.0 / 255.0) / 32.0;    //
ALPHA scale-bias.
    inset.y = (maxcol.y - mincol.y) / 16.0 - (8.0 / 255.0) / 16;    //
GREEN scale-bias.
    mincol = saturate(mincol + inset);
    maxcol = saturate(maxcol - inset);
}

void InsetNormalsBBoxLATC(in out float2 mincol, in out float2 maxcol)
{
    float2 inset = (maxcol - mincol) / 32.0 - (16.0 / 255.0) / 32.0; //
ALPHA scale-bias.
    mincol = saturate(mincol + inset);
    maxcol = saturate(maxcol - inset);
}

uint EmitGreenEndpoints(in out float ming, in out float maxg)
{
    uint c0 = round(ming * 63);
    uint c1 = round(maxg * 63);

    ming = float((c0 << 2) | (c0 >> 4)) * (1.0 / 255.0);
    maxg = float((c1 << 2) | (c1 >> 4)) * (1.0 / 255.0);

    return (c0 << 21) | (c1 << 5);
}

#if 1

uint EmitGreenIndices(float2 block[16], float minGreen, float maxGreen)
{
    const int GREEN_RANGE = 3;

    float bias = maxGreen + (maxGreen - minGreen) / (2.0 * GREEN_RANGE);
    float scale = 1.0f / (maxGreen - minGreen);

    // Compute indices
    uint indices = 0;
    for (int i = 0; i < 16; i++)
    {
        uint index = saturate((bias - block[i].y) * scale) * GREEN_RANGE;
        indices |= index << (i * 2);
    }

    uint i0 = (indices & 0x55555555);
    uint i1 = (indices & 0xAAAAAAAA) >> 1;
    indices = ((i0 ^ i1) << 1) | i1;

    // Output indices
    return indices;
}

#else

```

```

uint EmitGreenIndices(float2 block[16], float minGreen, float maxGreen)
{
    const int GREEN_RANGE = 3;

    float mid = (maxGreen - minGreen) / (2 * GREEN_RANGE);

    float yb1 = minGreen + mid;
    float yb2 = (2 * maxGreen + 1 * minGreen) / GREEN_RANGE + mid;
    float yb3 = (1 * maxGreen + 2 * minGreen) / GREEN_RANGE + mid;

    // Compute indices
    uint indices = 0;
    for (int i = 0; i < 16; i++)
    {
        float y = block[i].y;

        uint index = (y <= yb1);
        index += (y <= yb2);
        index += (y <= yb3);

        indices |= index << (i * 2);
    }

    uint i0 = (indices & 0x55555555);
    uint i1 = (indices & 0xAAAAAAAA) >> 1;
    indices = ((i0 ^ i1) << 1) | i1;

    // Output indices
    return indices;
}

#endif

uint EmitAlphaEndpoints(float mincol, float maxcol)
{
    uint c0 = round(mincol * 255);
    uint c1 = round(maxcol * 255);

    return (c0 << 8) | c1;
}

uint2 EmitAlphaIndices(float2 block[16], float minAlpha, float maxAlpha)
{
    const int ALPHA_RANGE = 7;

    float bias = maxAlpha + (maxAlpha - minAlpha) / (2.0 * ALPHA_RANGE);
    float scale = 1.0f / (maxAlpha - minAlpha);

    uint2 indices = 0;

    for (int i = 0; i < 6; i++)
    {
        uint index = saturate((bias - block[i].x) * scale) * ALPHA_RANGE;
    }
}

```

```

        indices.x |= index << (3 * i);
    }

    for (int i = 6; i < 16; i++)
    {
        uint index = saturate((bias - block[i].x) * scale) * ALPHA_RANGE;
        indices.y |= index << (3 * i - 18);
    }

    uint2 i0 = (indices >> 0) & 0x09249249;
    uint2 i1 = (indices >> 1) & 0x09249249;
    uint2 i2 = (indices >> 2) & 0x09249249;

    i2 ^= i0 & i1;
    i1 ^= i0;
    i0 ^= (i1 | i2);

    indices.x = (i2.x << 2) | (i1.x << 1) | i0.x;
    indices.y = (((i2.y << 2) | (i1.y << 1) | i0.y) << 2) | (indices.x >>
16);
    indices.x <<= 16;

    return indices;
}

uint2 EmitLuminanceIndices(float2 block[16], float minAlpha, float maxAlpha)
{
    const int ALPHA_RANGE = 7;

    float bias = maxAlpha + (maxAlpha - minAlpha) / (2.0 * ALPHA_RANGE);
    float scale = 1.0f / (maxAlpha - minAlpha);

    uint2 indices = 0;

    for (int i = 0; i < 6; i++)
    {
        uint index = saturate((bias - block[i].y) * scale) * ALPHA_RANGE;
        indices.x |= index << (3 * i);
    }

    for (int i = 6; i < 16; i++)
    {
        uint index = saturate((bias - block[i].y) * scale) * ALPHA_RANGE;
        indices.y |= index << (3 * i - 18);
    }

    uint2 i0 = (indices >> 0) & 0x09249249;
    uint2 i1 = (indices >> 1) & 0x09249249;
    uint2 i2 = (indices >> 2) & 0x09249249;

    i2 ^= i0 & i1;
    i1 ^= i0;
    i0 ^= (i1 | i2);

    indices.x = (i2.x << 2) | (i1.x << 1) | i0.x;
    indices.y = (((i2.y << 2) | (i1.y << 1) | i0.y) << 2) | (indices.x >>

```

```

16);
    indices.x <<= 16;

    return indices;
}

// compress a 4x4 block to DXT5nm format
// integer version, renders to 4 x int32 buffer
uint4 compress_NormalDXT5_fp(float2 texcoord : TEXCOORD0,
                             uniform sampler2D image,
                             uniform float2 imageSize = { 512.0, 512.0 }
                             ) : COLOR
{
    // read block
    float2 block[16];
    ExtractColorBlockXY(block, image, texcoord, imageSize);

    // find min and max colors
    float2 mincol, maxcol;
    FindMinMaxColorsBox(block, mincol, maxcol);
    InsetNormalsBBoxDXT5(mincol, maxcol);

    uint4 output;

    // Output X in DXT5 green channel.
    output.z = EmitGreenEndpoints(mincol.y, maxcol.y);
    output.w = EmitGreenIndices(block, mincol.y, maxcol.y);

    // Output Y in DXT5 alpha block.
    output.x = EmitAlphaEndpoints(mincol.x, maxcol.x);

    uint2 indices = EmitAlphaIndices(block, mincol.x, maxcol.x);
    output.x |= indices.x;
    output.y = indices.y;

    return output;
}

// compress a 4x4 block to LATC format
// integer version, renders to 4 x int32 buffer
uint4 compress_NormalLATC_fp(float2 texcoord : TEXCOORD0,
                              uniform sampler2D image,
                              uniform float2 imageSize = { 512.0, 512.0 }
                              ) : COLOR
{
    //imageSize = tex2Dsize(image, texcoord);

    // read block
    float2 block[16];
    ExtractColorBlockXY(block, image, texcoord, imageSize);

    // find min and max colors
    float2 mincol, maxcol;
    FindMinMaxColorsBox(block, mincol, maxcol);
    InsetNormalsBBoxLATC(mincol, maxcol);
}

```

```

uint4 output;

// Output Ny as an alpha block.
output.x = EmitAlphaEndPoints(mincol.y, maxcol.y);

uint2 indices = EmitLuminanceIndices(block, mincol.y, maxcol.y);
output.x |= indices.x;
output.y = indices.y;

// Output Nx as an alpha block.
output.z = EmitAlphaEndPoints(mincol.x, maxcol.x);

indices = EmitAlphaIndices(block, mincol.x, maxcol.x);
output.z |= indices.x;
output.w = indices.y;

return output;
}

uniform float3 lightDirection;
uniform bool reconstructNormal = true;
uniform bool displayNormal = true;
uniform bool displayError = false;
uniform float errorScale = 4.0f;

uniform sampler2D image : TEXUNIT0;
uniform sampler2D originalImage : TEXUNIT1;

float3 shadeNormal(float3 N)
{
    float3 L = normalize(lightDirection);
    float3 R = reflect(float3(0, 0, -1), N);

    float diffuse = saturate(dot(N, L));
    float specular = pow(saturate(dot(R, L)), 12);

    return 0.7 * diffuse + 0.5 * specular;
}

// Draw reconstructed normals.
float4 display_fp(float2 texcoord : TEXCOORD0) : COLOR
{
    float3 N;
    if (reconstructNormal)
    {
        N.xy = 2 * tex2D(image, texcoord).wy - 1;
        N.z = sqrt(saturate(1 - N.x * N.x - N.y * N.y));
    }
    else
    {
        N = normalize(2 * tex2D(image, texcoord).xyz - 1);
    }

    if (displayError)
    {

```

```

        float3 originalNormal = normalize(2 * tex2D(originalImage,
texcoord).xyz - 1);

        if (displayNormal)
        {
            float3 diff = (N - originalNormal) * errorScale;
            return float4(diff, 1);
        }
        else
        {
            float3 diff = abs(shadeNormal(N) - shadeNormal(originalNormal)) *
errorScale;
            return float4(diff, 1);
        }
    }
    else
    {
        if (displayNormal)
        {
            return float4(0.5 * N + 0.5, 1);
        }
        else
        {
            return float4(shadeNormal(N), 1);
        }
    }
}

// Draw geometry normals.
uniform float4x4.mvp : ModelViewProjection;
uniform float3x3.mvit : ModelViewInverseTranspose;

void display_object_vp(float4 pos : POSITION,
                      float3 normal : NORMAL,
                      out float4 hpos : POSITION,
                      out float3 o_normal : TEXCOORD0)
{
    hpos = mul(pos,.mvp);
    o_normal = mul(normal, .mvit);
}

float4 display_object_fp(float3 N : TEXCOORD0) : COLOR
{
    N = normalize(N);
    return float4(0.5 * N + 0.5, 1);
}

```